

Opacity Issues in Games with Imperfect Information

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We study in depth the class of games with opacity condition, which are two-player games with imperfect information that are relevant for security aspects of computing systems: a play is *opaque* whenever the set of positions that are considered possible for the player who has imperfect information never forms a secret. From the point of view of each player, solving such games leads to respectively the *opacity-violate problem* and the *opacity-guarantee problem*. We establish their EXPTIME-completeness, and exhibit the relevant *opacity-verify problem*, which noticeably generalizes approaches considered in the literature for opacity analysis in discrete-event systems. In the case of blindfold games, it relates to the two initial problems, yielding the determinacy of blindfold games with opacity condition and their PSPACE-completeness.

1 Introduction

We described in [10] a class of two-player games with imperfect information that we called *games with opacity condition*. In these games, the players are Robert (for “robber”) and Gerald (for “guardian”). Imperfect information is asymmetric between the players: Robert has imperfect information as opposed to Gerald who has perfect information. The model for such games uses the classic imperfect-information arenas, as defined in [12, 5, 1], but it is equipped with a subset of positions that denotes a confidential information, that we call a *secret*. We focus on the opportunity for Robert to discover some secret, by introducing the atypical property of *opacity*: a play is *opaque* whenever the set of positions that are considered possible for the player who has imperfect information never forms a secret. Informally, in games with opacity condition, Robert tries to force the play to reach secrets with certainty, whereas Gerald tries to keep Robert under uncertainty.

Not surprisingly, we established in [10] that games with opacity condition are not determined. We therefore introduced the two dual problems: the *opacity-violate problem* and the *opacity-guarantee problem*. The opacity-violate problem addresses the existence of a winning strategy for Robert that leads him to inevitably get complete evidence of some secret. This problem generalizes the imperfect-information games with reachability condition [12] in which the existence of a winning strategy for the player with imperfect information is addressed. Dually, the opacity-guarantee problem addresses the existence of a winning strategy for Gerald that prevents Robert from getting evidence of some secret. This problem therefore generalizes the imperfect information game with reachability condition but where the emphasis is put on the player who has perfect information. To our knowledge, the existence of winning strategy for Gerald in an imperfect information game with reachability condition has never been studied. Although the opacity-guarantee problem has some safety flavor, it is however crucial to understand that it cannot be related to imperfect information safety games of [1] where the focus is on Robert.

Our claim that games with opacity condition are natural and adequate models for practical applications is all the more sustained by very recent contributions of the literature [13, 7]. These results mainly

arise from the analysis of discrete-event systems and their theory of control. Our abstract setting provided by the game-theoretical paradigm enables us to focus on essential aspects of the topic, such as synthesizing strategies, and to circumvent the complexity of the problems.

Additionally to the two aforementioned problems, we consider the *opacity-verify problem* as an intermediate problem: the question here is to decide whether in a game with opacity condition, all strategies of Gerald are winning. The choice of considering this apparently weird problem is well motivated. Firstly, it is equivalent both to the opacity-guarantee problem and to the complementary of the opacity-violate problem for blindfold games; an immediate consequence is the determinacy of blindfold games with opacity condition. And secondly, it enables us to embed opacity issues in discrete-event systems with a strong language-theoretic feature, addressed earlier in the literature [13, 7].

In this contribution, we consider the three problems of opacity-violate, opacity-verify, and opacity-guarantee, keeping in mind that our main attention turns to the opacity-guarantee problem. It is not hard to establish the EXPTIME-completeness of the opacity-violate problem, from a power-set construction inspired by [12] that amounts to solving a reachability perfect-information game, and from the fact that it generalizes imperfect-information games with reachability condition, known to be EXPTIME-complete [12]. Regarding the opacity-guarantee problem, we rely on an earlier power-set construction to reduce this problem to a perfect-information game [10], yielding the EXPTIME upper bound complexity, and we provide in this contribution the matching lower bound (hence EXPTIME-completeness follows) by describing a non-trivial reduction from the empty input string acceptance problem for linearly-bounded alternating Turing Machines. Concerning the opacity-verify problem, we prove its PSPACE-completeness, which for the lower bound relies on a reduction from the universality problem for nondeterministic automata [8]. Interestingly, the opacity-verify problem relates the two other problems for the particular case of *blindfold games*, in such a way that those games are determined. We also show that the blindfold setting embraces the language-theoretic approaches for opacity analysis in discrete-event systems [13, 7].

The paper is organized as follows. In Section 2 we define games with opacity condition. In Section 3 we present the two underlying dual problems, the opacity-guarantee problem and the opacity-violate problem, and we establish their EXPTIME complexity. We first recall the power-set constructions from [10] that give the upper bounds, then we provide the matching lower bounds for the two problems. In Section 4 we introduce and rely on the opacity-verify problem to study blindfold games with opacity condition. We establish their determinacy and the PSPACE completeness of the three opacity problems in the blindfold setting. In Section 5 we show that the opacity-verify problem generalizes the opacity issues of [13, 7], and we conclude in Section 6 by giving some ideas on our current and future work.

2 Games with opacity condition

A *game with opacity condition* over the alphabet Σ and the set of observations Γ is an imperfect information game structure $A = (V, \Delta, \text{obs}, \text{act}, v_0, S)$ where V is a finite set of *positions*, $\Delta : V \times \Sigma \rightarrow 2^V \setminus \emptyset$ is a *transition function*, $\text{obs} : V \rightarrow \Gamma$ is an *observation function*, and $\text{act} : \Gamma \rightarrow 2^\Sigma \setminus \emptyset$ assigns to each observation a non-empty set of available actions, so that available actions are identical for observationally equivalent positions. Finally, v_0 is the initial position, and the additional element $S \subseteq V$ in the structure A is a finite set of *secret positions*.

In a game $A = (V, \Delta, \text{obs}, \text{act}, v_0, S)$, the players are Gerald and Robert. A play is an infinite sequence of rounds, and in each round $i \geq 1$, Robert chooses an action $a_i \in \text{act}(\text{obs}(v_{i-1}))$, Gerald chooses the new position $v_i \in \Delta(v_{i-1}, a_i)$, and Robert observes $\text{obs}(v_i)$. A *play* in A is an infinite sequence $\rho = v_0 a_1 v_1 \dots \in v_0(\Sigma V)^\omega$ that results from an interaction of Robert and Gerald in this game.

We now extend obs to plays by letting $\text{obs}(v_0 a_1 v_1 a_2 v_2 \dots) := v_0 a_1 \gamma_1 a_2 \gamma_2 \dots$ with $\gamma_i = \text{obs}(v_i)$ for each $i \geq 1$. The imperfect information setting leads Robert to partially observe a play ρ as $\text{obs}(\rho)$. Note that since the initial position is a part of the description of the arena, it is known by Robert.

For every natural number $k \in \mathbb{N}$ and play ρ , we denote by $\rho^k \in v_0(\Sigma V)^k$ the k -th prefix of ρ , defined by $\rho^k := v_0 a_1 v_1 \dots a_k v_k$, with the convention that $\rho^0 = v_0$. We denote by ρ^+ an arbitrary prefix of ρ .

Since the information revealed to Robert is based on observations, a strategy of Robert in A is a mapping of the form $\alpha : v_0(\Sigma \Gamma)^* \rightarrow \Sigma$ such that for any play prefix ρ^k ending in observation γ , $\alpha(\text{obs}(\rho^k)) \in \text{act}(\gamma)$.

On the contrary Gerald has perfect information on how the play progresses, so a strategy of Gerald in A is a mapping of the form $\beta : v_0(\Sigma V)^* \Sigma \rightarrow V$ such that for any play prefix ρ^k ending in position v , for all a in $\text{act}(\text{obs}(v))$, $\beta(\rho^k a) \in \Delta(v, a)$.

Given strategies α and β of Robert and of Gerald respectively, we say that a play $\rho = v_0 a_1 v_1 \dots$ is *induced by α* if $\forall k \geq 1, a_k = \alpha(\text{obs}(\rho^{k-1}))$, and ρ is *induced by β* if $\forall k \geq 1, v_k = \beta(\rho^{k-1} a_k)$. We also note $\alpha \hat{\beta}$ the only play induced by α and by β .

In the following, an observation γ might be interpreted as the set of positions it denotes, namely $\text{obs}^{-1}(\gamma)$.

Let us fix a play $\rho = v_0 a_1 v_1 a_2 v_2 \dots$. Note that every k -th prefix of ρ characterizes a unique *information set* $I(\rho^k) \subseteq V$ consisting of the set of positions that Robert considers plausible in the game after k rounds. Formally, information sets can be defined inductively as follows.

Definition 1 For every play $\rho = v_0 a_1 v_1 a_2 v_2 \dots$, we let

$$\begin{cases} I(\rho^0) & := \{v_0\}, \\ I(\rho^{k+1}) & := \Delta(I(\rho^k), a_{k+1}) \cap \text{obs}(v_{k+1}), \quad \forall k \geq 0 \end{cases}$$

We now define the opacity property:

Definition 2 For a given set of secret positions $S \subseteq V$, a play ρ satisfies the opacity property for S , or is S -opaque, if:

$$\forall k \in \mathbb{N}, I(\rho^k) \not\subseteq S$$

Informally, the opacity condition means that Robert never knows with certainty that the current position is a secret. In a *game with opacity condition*, the opacity property is the winning condition for Gerald, *i.e.* S -opaque plays are winning for Gerald, and the other ones are winning for Robert.

Remark 1 The definition of the arena and of the opacity condition are slightly different from the ones in [10]. In the previous version, Robert's aim was to reach a singleton information set, made of any position. We here introduce the set of secret positions and define the winning condition accordingly because it makes these games closer to the intuition behind opacity. Anyway the results established in [10] still hold in this setting, and the adaptations of the proofs are straightforward.

3 Opacity-violate and opacity-guarantee problems

It is well known that perfect-information games are determined [9], and that imperfect-information games are not determined in general. We recall that a game is *determined* if each position is winning for one of the two players.

We proved the following result in [10]:

Theorem 1 Games with opacity condition are not determined in general.

This result leads to introduce two dual problems. We first consider Robert's point of view.

Definition 3 Given a game with opacity condition $A = (V, \Delta, \text{obs}, \text{act}, v_0, S)$, the opacity-violate problem is to decide whether the following property holds:

$$\exists \alpha, \forall \beta, \alpha \widehat{\beta} \text{ is not } S\text{-opaque}$$

We now consider Gerald's dual point of view.

Definition 4 Given a game with opacity condition $A = (V, \Delta, \text{obs}, \text{act}, v_0, S)$, the opacity-guarantee problem is to decide whether the following property holds:

$$\exists \beta, \forall \alpha, \alpha \widehat{\beta} \text{ is } S\text{-opaque}$$

In the rest of this section we prove the following result:

Theorem 2 The opacity-violate and opacity-guarantee problems are EXPTIME-complete.

In the following, we adopt the classic convention that the size of a game is the size of its arena, *i.e.* the number of positions.

3.1 Power-set constructions for upper bounds

We recall the power-set constructions of [10] that lead to equivalently solve perfect information games.

We first address the opacity-violate problem. Since we consider the point of view of the player with imperfect information, this problem is close to problems usually studied in games with imperfect information. This is why we can easily rely on previous work on the topic to study its complexity. We remind the construction from [10], which is strongly inspired from the one described by Reif in [12]:

Let $A = (V, \Delta, \text{obs}, \text{act}, v_0, S)$ be a game with opacity condition. We define a reachability perfect-information game \widetilde{A} , where the players are Roberta and SuperGeraldine¹.

A position of \widetilde{A} is either I where I is a reachable information set in the game A - it is a position of Roberta -, or (I, a) where I is a reachable information set in A and $a \in \text{act}(I)$ ² - it is a position of SuperGeraldine.

The game is played as follows. It starts in the initial position $I_0 := \{v_0\}$ of Roberta. In a position I , Roberta chooses $a \in \text{act}(I)$ and moves to position (I, a) . Next, let O be the set of reachable observations from I by a . SuperGeraldine chooses a next information set $\Delta(I, a) \cap \gamma$, where γ ranges over O . In \widetilde{A} , a play $I_0(I_0, a_1)I_1(I_1, a_2) \dots$ is winning for Roberta if it reaches a position of the form I with $I \subseteq S$, otherwise it is winning for SuperGeraldine.

Theorem 3 [10] Roberta has a winning strategy in A , if and only if, Roberta has a winning strategy in the perfect-information game \widetilde{A} .

Due to nondeterminacy (Theorem 1), the opacity-guarantee problem has to be studied on its own. We remind the power-set construction for the opacity-guarantee problem described in [10], that leads to a safety perfect-information game \widehat{A} . In this game, unlike in \widetilde{A} , we maintain an extra information on how Gerald is playing in A . The players in \widehat{A} are SuperRoberta³ and Geraldine. A position in \widehat{A}

¹We use the superlative "Super" here because in general the winning strategies of SuperGeraldine do not reflect any winning strategy of Gerald in A . She has "more power" than Gerald.

² $\text{act}(I)$ makes sense because an information set is always a subset of a single observation.

³we use the superlative "Super" as, contrary to what Roberta could do in the game \widetilde{A} , SuperRoberta can take advantage of the extra information.

is either of the form (I, v) where I is a reachable information set in A , and $v \in I$ - it is a position of SuperRoberta -, or of the form (I, v, a) where I is a reachable information set in A , $v \in I$, and $a \in \text{act}(I)$ - it is a position of Geraldine. The initial position is $(\{v_0\}, v_0)$. In position (I, v) , SuperRoberta chooses $a \in \text{act}(I)$, and moves to (I, v, a) . In position (I, v, a) , Geraldine chooses $v' \in \Delta(v, a)$ and moves to (I', v') where $I' = \Delta(I, a) \cap \text{obs}(v')$. In \widehat{A} , a play $(I_0, v_0)(I_0, v_0, a_1)(I_1, v_1) \dots$ is winning for SuperRoberta if it reaches a position (I, v) with $I \subseteq S$, otherwise it is winning for Geraldine.

Theorem 4 [10] *Gerald has a winning strategy in A , if and only if, Geraldine has a winning strategy in the perfect-information game \widehat{A} .*

It is well known that perfect-information reachability games and perfect-information safety games are solvable in polynomial time. Since the constructions of \widetilde{A} and \widehat{A} involve a single exponential blow-up, it follows from Theorems 3 and 4 that the opacity-violate and opacity-guarantee problems are in EXPTIME.

3.2 Matching lower bounds

We prove here that the opacity-violate and the opacity-guarantee problems are EXPTIME-hard.

First, EXPTIME-hardness of the opacity-violate problem is proved by a reduction from reachability imperfect-information games of [12]. Recall that a *reachability imperfect-information game* is a game of imperfect information $A = (V, F, \Delta, \text{obs}, \text{act}, v_0)$ over Σ and Γ with a distinguished set of *target observations* $F \subseteq \Gamma$ that Robert aims at reaching.

Theorem 5 [12] *Solving reachability imperfect-information games is EXPTIME-complete.*

The reduction is straightforward. Let $A = (V, F, \Delta, \text{obs}, \text{act}, v_0)$ be a reachability imperfect-information game over Σ and Γ . We define the game with opacity condition $A' := (V, \Delta, \text{obs}, \text{act}, v_0, S)$ over Σ and Γ , where $S = \bigcup_{\gamma \in F} \gamma$.

It is easy to see that solving the reachability imperfect-information game A is equivalent to solving the opacity-violate problem in the game A' : a winning strategy for Robert to reach F in A is also a winning strategy for Robert in A' , and vice versa (remember that the information set is always a subset of the current observation).

We now show that the opacity-guarantee problem is EXPTIME-hard by a polynomial-time reduction from the acceptance problem of the empty input string for *linearly-bounded alternating Turing Machines* (TM) with a binary branching degree, which is EXPTIME-complete [4]. The key idea is to encode TM configurations by the information sets.

In the rest of this section, we fix such a TM machine $\mathcal{M} = (B, Q = Q_{\forall} \cup Q_{\exists} \cup \{q_{acc}, q_{rej}\}, q_0, \delta)$, where B is the input alphabet, Q_{\exists} (resp. Q_{\forall}) is the set of existential (resp. universal) states, $q_0 \in Q$ is the initial state, $q_{acc} \notin Q_{\forall} \cup Q_{\exists}$ is the (terminal) accepting state, $q_{rej} \notin Q_{\forall} \cup Q_{\exists}$ is the (terminal) rejecting state, and $\delta : (Q_{\forall} \cup Q_{\exists}) \times B \rightarrow (Q \times B \times \{+1, -1\}) \times (Q \times B \times \{+1, -1\})$ is the transition function. In each non-terminal step (i.e., the current state is in $Q_{\forall} \cup Q_{\exists}$), \mathcal{M} overwrites the tape cell being scanned, and the tape head moves one position to the left (-1) or right ($+1$). Let n be the size of \mathcal{M} and $[n] = \{1, \dots, n\}$. We assume that $n > 1$.

Since \mathcal{M} is linearly bounded, we can assume that \mathcal{M} uses exactly n tape cells when started on the *empty* input string ε . Hence, a configuration (of \mathcal{M} over ε) is a word $C = w_1(q, b)w_2 \in B^* \cdot (Q \times B) \cdot B^*$ of length exactly n denoting that the tape content is $w_1 b w_2$, the current state is q , and the tape head is at position $|w_1| + 1$. The initial configuration C_{init} is given by $(q_0, \sqcup) \sqcup^{n-1}$, where \sqcup is the blank symbol. Moreover, without loss of generality, we assume that when started on C_{init} , no matter what

are the universal and existential choices, \mathcal{M} always *halts* by reaching a terminal configuration C , i.e. such that the associated state, written $q(C)$, is in $\{q_{acc}, q_{rej}\}$ (this assumption is standard, see [4]). For a non-terminal configuration $C = w_1(q, b)w_2$ (i.e. such that $q \in Q_{\exists} \cup Q_{\forall}$), we denote by $succ_L(C)$ (resp. $succ_R(C)$) the successor of C obtained by choosing the left (resp. the right) triple in $\delta(q, b)$. An *accepting computation tree* of \mathcal{M} over ε is a finite tree T whose nodes are labeled by configurations and such that the root is labeled by C_{init} , the leaves are labeled by accepting configurations C , i.e. $q(C) = q_{acc}$, each internal node x is labeled by a non-terminal configuration C , and: (1) if C is existential (i.e., $q(C) \in Q_{\exists}$), then x has exactly one child whose label is one of the two successors of C , and (2) if C is universal (i.e., $q(C) \in Q_{\forall}$), then x has exactly two children corresponding to the two successors $succ_L(C)$ and $succ_R(C)$ of C . We construct a game with opacity condition $A_{\mathcal{M}}$ such that Gerald has a winning strategy in $A_{\mathcal{M}}$ if, and only if, there is an accepting computation tree of \mathcal{M} over ε (Theorem 6). Hence, EXPTIME-hardness of the opacity-guarantee problem follows.

In the game $A_{\mathcal{M}}$, the tape content can be retrieved from the current information set (of size n), and the remaining information about the current configuration is available in each position of the information set. A step of the machine is simulated by two rounds of the game: in the first round, depending on whether the current state is universal or existential, Robert simulates the universal choice of the next configuration or Gerald simulates the existential choice, and the second round simulates the updating of the configuration of the machine.

Here, we describe the construction of the game $A_{\mathcal{M}} = (V, \Delta, \text{obs}, \text{act}, v_0, S)$.

1. $V = \{v_0, \text{safe}_L, \text{safe}_R, \text{safe}_{choice}\} \cup (([n] \times B) \times ([n] \times Q \times B) \times \{L, R, \text{choice}\})$.
2. $\text{obs} : V \rightarrow \Gamma = \{\gamma_0, \gamma_{choice}, \gamma_L, \gamma_R\}$ is defined by

$$\text{obs}(v) = \begin{cases} \gamma_0 & \text{if } v = v_0 \\ \gamma_L & \text{if } v \in \{\text{safe}_L\} \cup (([n] \times B) \times ([n] \times Q \times B) \times \{L\}) \\ \gamma_R & \text{if } v \in \{\text{safe}_R\} \cup (([n] \times B) \times ([n] \times Q \times B) \times \{R\}) \\ \gamma_{choice} & \text{otherwise.} \end{cases}$$

3. $\text{act} : \Gamma \rightarrow \Sigma = \{\forall_L, \forall_R, \exists\} \cup B$ is defined by

$$\text{act}(\gamma) = \begin{cases} \Sigma & \text{if } \gamma = \gamma_0 \\ \{\forall_L, \forall_R, \exists\} & \text{if } \gamma = \gamma_{choice} \\ B & \text{otherwise.} \end{cases}$$

4. $S = ([n] \times B) \times ([n] \times \{q_{rej}\} \times B) \times \{\text{choice}\}$.
5. We delay the formal definition of $\Delta : V \times \Sigma \rightarrow 2^V \setminus \emptyset$ after informally describing the running of the game.

A configuration C is encoded by an *information set* $I_f(C)$ of the form

$$\{(1, b_1), (i, q(C), b_i), f), \dots, (n, b_n), (i, q(C), b_i), f\}$$

where $f \in \{L, R, \text{choice}\}$, i is the position of the tape cell of C being scanned, and for each $1 \leq j \leq n$, b_j is the content of the j -th cell. For each $f \in \{L, R, \text{choice}\}$, $I_f(C)$ is called the *f-code* of C , and during a play, the current information set is of the form $I_f(C)$ for some reachable configuration C of the machine, unless Robert happened to have made some *deviating* move which does not simulate the dynamics of \mathcal{M} . We capture this deviation by making Robert lose: technically, the play enters one of the *safe* positions $\text{safe}_L, \text{safe}_R$, or safe_{choice} that do not belong to the set S of secrets; then, once a safe position is reached,

only other safe positions can be reached, yielding Gerald to win, whatever Robert does in the future. Note that for each $f \in \{L, R\}$, $I_f(C)$ does not violate the opacity condition for S , and $I_{choice}(C)$ violates the opacity condition for S if, and only if, C is rejecting (i.e. $q(C) = q_{rej}$). For all $q \in Q_{\exists} \cup Q_{\forall}$ and $b \in B$, we denote by $\delta_L(q, b)$ (resp. $\delta_R(q, b)$) the left (resp. right) triple in $\delta(q, b)$. The behavior of $A_{\mathcal{M}}$ is as follows:

First round: From the initial position v_0 , whatever Robert and Gerald choose, the information set at the end of the first round is $I_{choice}(C_{init})$, the *choice-code* of the initial configuration.

The current information set is $I_{choice}(C)$ for some terminal configuration C : If C is rejecting, then $I_{choice}(C) \subseteq S$ and Gerald loses. Otherwise, $I_{choice}(C) \not\subseteq S$ and independently of the move of Robert, the play reaches a safe position $safe_{dir}$ for some $dir \in \{L, R\}$ and Gerald wins.

As we shall see, there remain only two cases, which in turn simulate a complete step of \mathcal{M} .

The current information set is $I_{choice}(C)$ for some non-terminal configuration C :

Let $v = ((k, b_k), (i, q(C), b_i), choice)$ be the current position (corresponding to some position in $I_{choice}(C)$). From $obs(v)$, Robert can only choose actions in $\{\exists, \forall_L, \forall_R\}$. There are again two cases.

C is existential (note that this information is contained in the position v). Moves \forall_L and \forall_R of Robert are deviating and the play reaches one of the safe positions $safe_L$ or $safe_R$, thus Gerald wins. If instead Robert's move is \exists , the following move $dir \in \{L, R\}$ of Gerald aims at simulating the existential choice of \mathcal{M} in the configuration C . The reached position is then $v' = ((k, b_k), (i, q(C), b_i), dir)$.

C is universal. The move \exists of Robert is deviating and the following move of Gerald can lead only to $safe_L$ or $safe_R$, which makes him win. Instead Robert's move $\forall_{dir} \in \{\forall_L, \forall_R\}$ simulates the universal choice of \mathcal{M} in the configuration C . Next, Gerald's move is unique and leads to the position $v' = ((k, b_k), (i, q(C), b_i), dir)$.

Whatever the type of the configuration C was, by letting the observation classes split positions with different values of dir (see the definition of obs above), the information set after the move of Gerald becomes $I_{dir}(C)$, unless Robert's move was deviating.

The current information set is $I_{dir}(C)$ with $dir \in \{L, R\}$, for some non-terminal configuration C :

Let the current position be $v = ((k, b_k), (i, q(C), b_i), dir) \in I_{dir}(C)$, and let

$\delta_{dir}(q(C), b_i) = (q_{dir}, b_{dir}, \theta_{dir})$. The value $j = i + \theta_{dir}$ represents the position of the cell being scanned in the next configuration $succ_{dir}(C)$; note that the value j is easily computable from the current position v . In order however to complete the step of the machine and to reach the information set $I_{choice}(succ_{dir}(C))$, the value of b_j must be provided by the game. Therefore, we let b_j be the only non-deviating move of Robert from position $v \in I_{dir}(C)$, among the possible moves in B .

From position $v = ((k, b_k), (i, q(C), b_i), dir)$, the above behavior is implemented as follows. Let b be the action chosen by Robert. If $k \notin \{i, j\}$, tape cell k is unchanged by the step of the machine, hence the only possible move of Gerald leads to $((k, b_k), (j, q_{dir}, b), choice)$. If $k = i$, tape cell i is overwritten, hence the move of Gerald is unique and leads to $((i, b_{dir}), (j, q_{dir}, b), choice)$. Finally, if $k = j$, there are two cases. If $b = b_j$, then Gerald can only move to $((j, b_j), (j, q_{dir}, b_j), choice)$ which updates the data for the next configuration $succ_{dir}(C)$, otherwise the move $b (\neq b_j)$ of Robert is deviating (and the play reaches a safe position).

We can now formally define the moves in $A_{\mathcal{M}}$, by letting $\Delta : V \times \Sigma \rightarrow 2^V \setminus \emptyset$ be:

Case $v = v_0$:

$$\Delta(v, a) = \{((h, \omega), (1, q_0, \omega), choice) \mid h \in [n]\}$$

Case $v = safe_{choice}$:

$$\Delta(v, a) = \{safe_{dir} \mid dir \in \{L, R\}\}$$

Case $v = safe_{dir}$, where $dir \in \{L, R\}$:

$$\Delta(v, a) = \{safe_{choice}\}$$

Case $v = ((h, b), (i, q, b'), choice)$:

$$\Delta(v, a) = \begin{cases} \{((h, b), (i, q, b'), dir) \mid dir \in \{L, R\}\} & \text{if } a = \exists \text{ and } q \in Q_{\exists} \\ \{((h, b), (i, q, b'), L)\} & \text{if } a = \forall_L \text{ and } q \in Q_{\forall} \\ \{((h, b), (i, q, b'), R)\} & \text{if } a = \forall_R \text{ and } q \in Q_{\forall} \\ \{safe_{dir} \mid dir \in \{L, R\}\} & \text{otherwise} \end{cases}$$

Case $v = ((h, b), (i, q, b'), dir)$, where $dir \in \{L, R\}$, $q \notin \{q_{rej}, q_{acc}\}$, and $\delta_{dir}(q, b') = (q_{dir}, b_{dir}, \theta_{dir})$:

$$\Delta(v, a) = \begin{cases} \{((h, b), (i + \theta_{dir}, q_{dir}, a), choice)\} & \text{if } a \in B \text{ and } h \notin \{i, i + \theta_{dir}\} \\ \{((h, b_{dir}), (i + \theta_{dir}, q_{dir}, a), choice)\} & \text{if } a \in B \text{ and } h = i \\ \{((h, b), (i + \theta_{dir}, q_{dir}, b), choice)\} & \text{if } a = b \text{ and } h = i + \theta_{dir} \\ \{safe_{choice}\} & \text{otherwise} \end{cases}$$

Case $v = ((h, b), (i, q, b'), dir)$, where $dir \in \{L, R\}$ and $q \in \{q_{rej}, q_{acc}\}$:

$$\Delta(v, a) = \{((h, b), (i, q, b'), choice)\}$$

This achieves the construction of the game $A_{\mathcal{M}}$ which satisfies the following (proof in Appendix A):

Theorem 6 *There is an accepting computation tree of \mathcal{M} over ε if, and only if, there is a winning strategy of Gerald in the game $A_{\mathcal{M}}$.*

4 Blindfold games with opacity condition

We recall that a game with imperfect information is *blindfold* if all positions have the same observation.

Lemma 7 *Let $A = (V, \Delta, \text{obs}, \text{act}, v_0)$ be a blindfold game with imperfect information over Σ and $\Gamma = \{\gamma\}$. For every play prefix $\rho^n = v_0 a_1 v_1 \dots a_n v_n$, $I(\rho^n) = \Delta(\{v_0\}, a_1 \dots a_n)$.*

The proof is trivial, by applying the definition of the information set.

In blindfold games Robert cannot base the choice of his actions on anything because he does not see anything of what Gerald does. So a strategy for Robert is just an infinite sequence of actions. More formally:

Lemma 8 *Let $A = (V, \Delta, \text{obs}, \text{act}, v_0)$ be a blindfold game with imperfect information over Σ and $\Gamma = \{\gamma\}$, let α be a strategy for Robert, then there exists $a_1 a_2 a_3 \dots \in \Sigma^\omega$ such that for all strategies β and β' for Gerald, $\text{obs}(\alpha \hat{\beta}) = \text{obs}(\alpha \hat{\beta}') = v_0 a_1 \gamma a_2 \gamma \dots$.*

In the rest of this section we prove the following two theorems:

Theorem 9 *Blindfold games with opacity condition are determined.*

Theorem 10 *For blindfold games with opacity condition, the opacity-guarantee problem and the opacity-violate problem are PSPACE-complete.*

Both theorems are proved by considering a third problem: the *opacity-verify problem* which addresses the strong ability for Gerald to win the game. We define this problem and establish its PSPACE-completeness in the general setting of games with opacity condition and also in the particular case of blindfold games (Theorem 11). We finally compare it to the opacity-violate and opacity-guarantee problems for blindfold games (Theorem 14).

Definition 5 *Given a game with opacity condition $A = (V, \Delta, \text{obs}, \text{act}, v_0, S)$, the opacity-verify problem is to decide whether the following property holds:*

$$\forall \beta, \forall \alpha, \alpha \widehat{\beta} \text{ is } S\text{-opaque} \quad (1)$$

If Property (1) holds, any strategy β of Gerald is a winning-strategy. Otherwise, there exists a play in the game that is not S -opaque.

Theorem 11 *The opacity-verify problem is PSPACE-complete, even for blindfold games.*

For the PSPACE membership, we design an algorithm that decides whether there exists a losing play for Gerald, which is clearly equivalent to deciding whether there exists a strategy of Gerald that is not winning.

The algorithm runs in NPSPACE, hence in PSPACE [14], by nondeterministically choosing the moves for Robert and Gerald, and by updating the current information set of Robert at each round. Since information sets are subsets of the set of positions, if there are n positions, we need $O(n)$ space to run this algorithm.

The PSPACE-hardness of the opacity-verify problem results from a reduction from the universality problem for a complete nondeterministic finite automaton (NFA), known to be PSPACE-complete [15].

We recall that a NFA $\mathcal{A} = (Q, \Sigma, \Delta, Q_0, Q_f)$ is a nondeterministic finite automaton with states Q , alphabet Σ , transition relation $\Delta : Q \times \Sigma \rightarrow 2^Q$ and sets of (respectively) initial and accepting states Q_0 and Q_f . A NFA \mathcal{A} is complete if for every state q and letter a , $\Delta(q, a) \neq \emptyset$. The *language* $\mathcal{L}(\mathcal{A}) \subseteq \Sigma^*$ of \mathcal{A} is the set of words $w \in \Sigma^*$ such that $\Delta(Q_0, w) \cap Q_f \neq \emptyset$. The universality problem is to decide whether \mathcal{A} accepts all possible finite words, i.e. $\mathcal{L}(\mathcal{A}) = \Sigma^*$.

Given a complete NFA $\mathcal{A} = (Q, \Sigma, \Delta, Q_0, Q_f)$, define the blindfold game with opacity condition $A_{\mathcal{A}} = (Q \cup \{q_0\}, \Delta', \text{obs}, \text{act}, q_0, S)$ over Σ and $\Gamma = \{\gamma\}$, with $q_0 \notin Q$, as follows:

$$\begin{aligned} S &= Q \setminus (Q_f \cup \{q_0\}) \\ \text{act}(\gamma) &= \Sigma \\ \forall q \in Q \cup \{q_0\}, \text{obs}(q) &= \gamma \\ \forall a \in \Sigma, \Delta'(q, a) &= \begin{cases} Q_0 & \text{if } q = q_0 \\ \Delta(q, a) & \text{otherwise} \end{cases} \end{aligned}$$

Since, firstly, q_0 is not reachable after the first move, secondly, $\Delta'(q, a) = \Delta(q, a)$ for $q \neq q_0$ and finally, $\Delta'(q_0, a) = Q_0$ for all a , we obtain from lemma 7 the following corollary :

Corollary 12 *For each play prefix in $A_{\mathcal{A}}$ of the form $\rho^n = q_0 a_1 q_1 \dots a_n q_n$ with $n \geq 1$, $I(\rho^n) = \Delta(Q_0, a_2 \dots a_n)$*

One may note that the aim of the initial position q_0 is to initialise Robert's information set to Q_0 at the end of the first round.

Proposition 13 *The NFA \mathcal{A} is universal if, and only if, in $A_{\mathcal{A}}$, every strategy of Gerald is winning.*

Proof We start with the right-left implication. Assume that every strategy is winning for Gerald. Take one strategy β , and take a word $w \in \Sigma^*$. Consider a play ρ in which Robert's first moves form the sequence of actions aw , for some a in Σ , and Gerald follows strategy β . This is possible because the underlying automaton is complete. Being ρ induced by the winning strategy β , it is S -opaque, hence in particular $I(\rho^{1+|w|}) \not\subseteq S$. By Corollary 12 we obtain : $\Delta(Q_0, w) \not\subseteq S$, which implies that there exists a position q in $\Delta(Q_0, w)$ that is in Q_f , hence \mathcal{A} accepts w . \mathcal{A} is universal.

For the other implication, suppose that \mathcal{A} is universal. Let β be a strategy of Gerald, and let ρ be a play induced by β . We prove that ρ is S -opaque. Let $n \in \mathbb{N}$. If $n = 0$, $I(\rho^n) = \{q_0\} \not\subseteq S$. If $n > 0$, there exists w in Σ^* such that $I(\rho^n) = \Delta(Q_0, w)$ (Corollary 12). Since \mathcal{A} is universal it accepts w , hence $\Delta(Q_0, w) \cap Q_f \neq \emptyset$. So $I(\rho^n) \not\subseteq S$, and this finishes the proof. \square

Theorem 14 *In a blindfold game with opacity condition, the opacity-verify problem, the opacity-guarantee problem and the complementary of the opacity-violate problem are equivalent.*

Proof Let $A = (V, \Delta, \text{obs}, \text{act}, v_0, S)$ be a blindfold game with opacity condition. It is clear that in general,

$$\forall \beta, \forall \alpha, \alpha \hat{\beta} \text{ is } S\text{-opaque} \Rightarrow \exists \beta, \forall \alpha, \alpha \hat{\beta} \text{ is } S\text{-opaque}$$

We prove the converse in the case of blindfold games. Suppose that there exists a winning strategy β for Gerald. We prove that any strategy β' is also winning.

Let α be a strategy for Robert. Since A is blindfold, by Lemma 8 we have that $\text{obs}(\alpha \hat{\beta}) = \text{obs}(\alpha \hat{\beta}')$, so for every $n \in \mathbb{N}$, $I(\alpha \hat{\beta}^n) = I(\alpha \hat{\beta}'^n) \not\subseteq S$.

So we have that the opacity-verify problem is equivalent to the opacity-guarantee problem in blindfold games. We now show that the opacity-verify problem is also equivalent to the complementary of the opacity-violate problem (decide whether $\forall \alpha, \exists \beta$ s.t. $\alpha \hat{\beta}$ is S -opaque holds).

Once again one implication is trivial :

$$\forall \beta, \forall \alpha, \alpha \hat{\beta} \text{ is } S\text{-opaque} \Rightarrow \forall \alpha, \exists \beta, \alpha \hat{\beta} \text{ is } S\text{-opaque}$$

Now the other way. Suppose that for any strategy α there is a strategy β for Gerald such that α loses. Now take any couple of strategies (α, β') . We know that there exists a strategy β such that $\alpha \hat{\beta}$ is S -opaque. But we also know (Lemma 8) that $\text{obs}(\alpha \hat{\beta}) = \text{obs}(\alpha \hat{\beta}')$ because the game is blindfold, so once again for every $n \in \mathbb{N}$, $I(\alpha \hat{\beta}^n) = I(\alpha \hat{\beta}'^n) \not\subseteq S$. \square

The determinacy of blindfold games with opacity condition (Theorem 9) is an immediate corollary of the above Theorem 14. Also Theorem 10 results from Theorems 14 and 11.

5 Related work

Opacity has mostly been studied in the framework of discrete-event systems and their theory of control ([13, 7]). It is both interesting and important to know to what extent the classical problems in this field can be embedded into our games. We first describe the discrete-event system setting, next we define the notion of opacity in this framework. We finally propose a translation from the verification of opacity in this setting to the opacity-verify problem in games with opacity condition.

First we recall that a *deterministic finite automaton (DFA)* is a NFA $\mathcal{A} = (Q, \Sigma, \delta, q_0, Q_f)$ but with a unique initial state q_0 and in which the transition relation $\delta : Q \times \Sigma \rightarrow 2^Q$ satisfies $|\delta(q, a)| \leq 1$ for all states q and input symbols a .

The problem of opacity is defined in [7] with regards to a LTS G (labelled transition system, *i.e.* a DFA without accepting states) and a confidential predicate ϕ over execution traces of G , representable by a regular language $\mathcal{L}_\phi \subseteq \Sigma^*$ where Σ is the set of events of the transition system. For convenience, we equivalently state it on a DFA \mathcal{A}_G^ϕ representing the transition system together with the secret predicate. The automaton \mathcal{A}_G^ϕ is simply the synchronized product of G with some complete DFA accepting \mathcal{L}_ϕ . We denote by $\mathcal{T}(\mathcal{A}) \subseteq \Sigma^*$ the set of execution traces of an automaton \mathcal{A} , and by $\mathcal{L}(\mathcal{A})$ the language accepted by \mathcal{A} , so we have that $\mathcal{T}(\mathcal{A}_G^\phi) = \mathcal{T}(G)$ and $\mathcal{L}(\mathcal{A}_G^\phi) = \mathcal{T}(G) \cap \mathcal{L}_\phi$. From now on, for a DFA \mathcal{A} , a state q and $w \in \mathcal{T}(\mathcal{A})$, $\delta(q, w)$ shall denote the only state it contains.

We consider a subset of events $\Sigma_a \subseteq \Sigma$ which denotes the observation capabilities of a potential attacker of the system, and we let P_{Σ_a} be the *projection* function from Σ^* to Σ_a^* . Two words w and w' are *observationally equivalent* if $P_{\Sigma_a}(w) = P_{\Sigma_a}(w')$. We denote by $[w]_a = P_{\Sigma_a}^{-1}(P_{\Sigma_a}(w))$ the set of words in Σ^* that are observationally equivalent to the word w with regard to Σ_a .

Definition 6 \mathcal{L}_ϕ is opaque w.r.t. $\mathcal{T}(G)$ and Σ_a if

$$\forall w \in \mathcal{T}(G), [w]_a \cap \mathcal{T}(G) \not\subseteq \mathcal{L}_\phi$$

This means that \mathcal{L}_ϕ is opaque w.r.t. $\mathcal{T}(G)$ and Σ_a if, and only if, whenever an execution trace of G verifies the confidential predicate ϕ there exists another possible execution trace observationally equivalent that does not verify ϕ .

We take an instance of the opacity verification problem, $\mathcal{A}_G^\phi = (Q, \Sigma, \delta, q_0^G, Q_f)$, and we describe the construction of the game with opacity condition A_G^ϕ such that the following holds:

Theorem 15 Verifying that \mathcal{L}_ϕ is opaque w.r.t. $\mathcal{T}(G)$ and Σ_a is equivalent to deciding the opacity-verify problem in A_G^ϕ .

The construction starts from \mathcal{A}_G^ϕ where transitions labelled by events in $\Sigma \setminus \Sigma_a$ are turned into ε -transitions. Then we remove those ε -transitions as described in [8] by taking the ε -closure of the transition function, and we obtain the ε -free nondeterministic finite automaton $\mathcal{A}^\varepsilon = (Q, \Sigma_a, \Delta^\varepsilon, Q_0^\varepsilon, Q_f)$.

In this automaton, transitions are all labelled by observable events. One should think of the nondeterminism in this automaton as the uncertainty the attacker has concerning the behaviour of the system. More precisely, she does not know when an observable event is triggered whether the system takes “invisible” transitions or not, may it be before, after, or both before and after the observable one.

We need the following lemma, which is a mere consequence of the construction :

Lemma 16

$$\forall w \in \Sigma_a^*, \Delta^\varepsilon(Q_0^\varepsilon, w) = \{\delta(q_0^G, w') \mid w' \in [w]_a \cap \mathcal{T}(G)\}$$

We can now define the game $A_G^\phi = (V, \Delta, \text{obs}, \text{act}, v_0, S)$ over $\Sigma' = \{\sqrt{\cdot}\}$ and $\Gamma = \{\gamma_x \mid x \in \Sigma_a\} \cup \{\gamma_\varepsilon\}$:

- $V = Q \times \Sigma_a \cup Q_0^\varepsilon \times \{\varepsilon\} \cup \{v_{init}\}$.
- $\Delta(v, \sqrt{\cdot}) = \begin{cases} \{(q', y) \mid y \in \Sigma_a, q' \in \Delta^\varepsilon(q, y)\} & \text{if } v = (q, x) \\ \{(q, \varepsilon) \mid q \in Q_0^\varepsilon\} & \text{if } v = v_{init} \end{cases}$
- $\forall (q, x) \in V, \text{obs}((q, x)) = \gamma_x$, and $\text{obs}(v_{init}) = \gamma_\varepsilon$

- $\forall v \in V, \text{act}(v) = \{\checkmark\}$
- $S = \{(q_f, x) \mid q_f \in Q_f, x \in \Sigma_a \cup \{\varepsilon\}\}$ and $v_0 = v_{init}$

Remark 2 Without loss of generality we can assume that in every state q of \mathcal{A}^ε there exists an event y in Σ_a such that $\Delta^\varepsilon(q, y)$ is not empty. So in every position (q, x) in V , $\Delta((q, x), \checkmark)$ is not empty, and the game can always continue.

In this game, Robert is passive. He only observes Gerald, who simulates the system G . If the game is in position (q, x) , it represents that we are in state q in the system G , and that the last visible event was x (if $x = \varepsilon$, no observable event happened yet). Robert observes γ_x , i.e the only information he gains during a play is the sequence of visible events. When Gerald plays, he chooses a visible event y and a state reachable from q through y in \mathcal{A}^ε , which can be seen as choosing as many invisible transitions in G as he wishes, plus one visible amongst them, y . We shall note α_{\checkmark} the only possible strategy for Robert, which is to always play \checkmark .

v_{init} is the initial position, that can never be reached after the first move. It is used to initialize Robert's information set to $Q_0^\varepsilon \times \{\varepsilon\}$ (these are the only reachable positions from v_{init} , and they have the same observation, γ_ε). This represents the set of states in G that are reachable before any observable transition is taken.

We start the proof of Theorem 15 by establishing this central lemma.

Lemma 17 Let $\rho^{n+1} = v_{init} \checkmark(q_0, \varepsilon) \checkmark(q_1, x_1) \dots \checkmark(q_n, x_n)$ be a prefix of a play, with $n \geq 0$. Then $\{q \mid (q, x_n) \in I(\rho^{n+1})\} = \Delta^\varepsilon(Q_0^\varepsilon, x_1 \dots x_n)$ and for all (q, x) in $I(\rho^{n+1})$, $x = x_n$.

Proof The latter fact is obvious, from the definition of observations.

Considering the former fact, we prove it by induction on n .

$n = 1$: $I(\rho^1) = \Delta(\{v_{init}\}, \checkmark) \cap \gamma_\varepsilon = \{(q_0, \varepsilon) \mid q_0 \in Q_0^\varepsilon\}$, so we clearly have :

$$\{q \mid (q, \varepsilon) \in I(\rho^1)\} = Q_0^\varepsilon = \Delta^\varepsilon(Q_0^\varepsilon, \varepsilon)$$

$n + 1$:

$$\begin{aligned} \{q \mid (q, x_{n+1}) \in I(\rho^{n+2})\} &= \{q \mid (q, x_{n+1}) \in \Delta(I(\rho^{n+1}), \checkmark) \cap \text{obs}((q_{n+1}, x_{n+1}))\} \\ &= \{q \mid (q, x_{n+1}) \in \Delta(I(\rho^{n+1}), \checkmark)\} \\ &= \{q \mid \exists (q', x_n) \in I(\rho^{n+1}), q \in \Delta^\varepsilon(q', x_{n+1})\} \\ &= \{q \mid \exists q' \in \Delta^\varepsilon(Q_0^\varepsilon, x_1 \dots x_n), q \in \Delta^\varepsilon(q', x_{n+1})\} \\ &= \Delta^\varepsilon(Q_0^\varepsilon, x_1 \dots x_{n+1}) \end{aligned}$$

□

We move on to the proof of Theorem 15. Suppose that every strategy β is winning for Gerald. We prove that \mathcal{L}_ϕ is opaque w.r.t $\mathcal{T}(G)$ and Σ_a . Take a word w in $\mathcal{T}(G)$. There exists a prefix of a play $\rho^{n+1} = v_{init} \checkmark(q_0, \varepsilon) \checkmark(q_1, x_1) \dots \checkmark(q_n, x_n)$ such that $x_1 \dots x_n = P_{\Sigma_a}(w)$. So there exists a strategy β such that $\alpha_{\checkmark} \widehat{\beta}^{n+1} = \rho^{n+1}$. With lemma 17 and 16 we have that $\{q \mid (q, x_n) \in I(\rho^{n+1})\} = \{\delta(q_0^G, w) \mid w \in [x_1 \dots x_n]_a \cap \mathcal{T}(G)\}$. Since β is winning, $\{q \mid (q, x_n) \in I(\rho^{n+1})\} \not\subseteq Q_f$, so there exists w' in $[x_1 \dots x_n]_a \cap \mathcal{T}(G) = [w]_a \cap \mathcal{T}(G)$ such that $\delta(q_0^G, w') \notin Q_f$. This implies that $[w]_a \cap \mathcal{T}(G) \not\subseteq \mathcal{L}_\phi$.

Now suppose that \mathcal{L}_ϕ is opaque w.r.t $\mathcal{T}(G)$ and take β a strategy for Gerald in A_G^ϕ , we prove that β is winning. Let $\rho_\beta = \alpha_{\checkmark} \widehat{\beta}$ be the only possible play induced by β . Take a prefix $\rho_\beta^{n+1} = v_{init} \checkmark(q_0, \varepsilon) \checkmark(q_1, x_1) \dots \checkmark(q_n, x_n)$ of this play. By Lemma 17 and 16 again, $\{q \mid (q, x_n) \in I(\rho_\beta^{n+1})\} = \{\delta(q_0^G, w) \mid w \in [x_1 \dots x_n]_a \cap \mathcal{T}(G)\}$. Since an information set is never empty, there exists w in $[x_1 \dots x_n]_a \cap \mathcal{T}(G)$, and because \mathcal{L}_ϕ is opaque w.r.t $\mathcal{T}(G)$, $[x_1 \dots x_n]_a \cap \mathcal{T}(G) \not\subseteq \mathcal{L}_\phi$. So there exists w' in $[x_1 \dots x_n]_a \cap \mathcal{T}(G)$ such that $\delta(q_0^G, w') = q \notin Q_f$, hence $(q, x_n) \notin S$ and $I(\rho_\beta^n) \not\subseteq S$. β is winning.

6 Conclusion and perspectives

Following [10], we have extended the study of games with opacity condition. The opacity condition is an atypical winning condition in imperfect information arenas aiming at capturing security aspects of computer systems. Since games with opacity condition are not determined in general, two dual problems need being considered: the opacity-violate problem and the opacity-guarantee problem, focusing on the player who has imperfect information and on the player who has perfect information respectively. Notice that the opacity-violate problem is a natural generalization of the incomplete information games of [12].

For both problems, simple power-set constructions applies to convert such games into perfect information ones, that can be solved in polynomial time, hence their upper bound is EXPTIME. On the contrary, the matching EXPTIME lower bound for the opacity-guarantee problem, where the main player has perfect information (Gerald), was unknown until now and relies on an elegant reduction from the empty input string acceptance problem for linearly-bounded alternating Turing machines. The key point is to encode the configurations by information sets in the game. The reduction and its correctness proof are very technical, but we could provide an intuitive informal description.

Finally, we focused on the particular case of blindfold games which offer specific results such as determinacy (Theorem 9) and PSPACE-complete complexities (Theorem 10). The main tool to obtain these results is the opacity-verify problem which addresses the question whether any strategy of Gerald is winning. We have shown in the case of blindfold games, that it is equivalent to the opacity-guarantee problem and to the complement of the opacity-violate problem, and that it is PSPACE-complete, by providing a PSPACE algorithm and a reduction from the nondeterministic finite automata universality problem. The opacity-verify problem is all the more interesting to consider that it naturally demonstrates how the paradigm of opacity condition embraces opacity issues investigated in the recent literature [13, 7].

Games with opacity condition open a novel field in the theoretical aspects of games with imperfect information by putting the emphasis on the player who has perfect information. From this point of view, plethora of questions need being addressed, among which their connection with language-theoretic issues (the synchronizing/directing word problem [2, 11, 3], controller synthesis to enforce the opacity of a language [7, 6]), their logical foundations, and their algorithmic aspects.

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Appendix

A Proof of Theorem 6

In this Appendix we prove the following result.

Theorem 18 *There is an accepting computation tree of \mathcal{M} over ε if and only if there is a winning strategy of Gerald in the game $A_{\mathcal{M}}$.*

First, we need additional definitions. For each $v \in V$, we denote by $A_{\mathcal{M}}^v$ the game $(V, \Delta, \text{obs}, \text{act}, v, S)$, i.e. the game defined exactly as $A_{\mathcal{M}}$ with the unique difference that the initial position is v . A play of $A_{\mathcal{M}}$ starting from v is a play of $A_{\mathcal{M}}^v$. Similarly, a strategy of Gerald from position v , is a strategy of Gerald in the game $A_{\mathcal{M}}^v$. Given a play $\rho = v'_0 a_1 v'_1 \dots$ from $v'_0 = v$ and a set $I_0 \subseteq V$ such that $v \in I_0$, for each $k \geq 0$, the *information set* $I(\rho^k, I_0)$ of the prefix ρ^k of ρ w.r.t. I_0 is inductively defined as $I(\rho^k)$ with the unique difference that initially we set $I(\rho^0, I_0) = I_0$. In particular, if $I_0 = \{v\}$, then $I(\rho^k, I_0) = I(\rho^k)$ for each $k \geq 0$. Let β be a strategy of Gerald from position v . An *outcome* of β is a play $\rho = v'_0 a_1 v'_1 \dots$ starting from position v such that for each $k > 0$, $v'_k = \beta(\rho^{k-1} a_k)$. Given $I_0 \subseteq V$ such that $v \in I_0$, we say that β is *winning for Gerald w.r.t. I_0* if, and only if, for each outcome ρ of β and $k \geq 0$, $I(\rho^k, I_0) \not\subseteq S$. Note that for $I_0 = \{v\}$, the above notion corresponds to the notion of winning strategy of Gerald in the game $A_{\mathcal{M}}^v$.

The *full computation tree of \mathcal{M} (over ε)* T_{full} is the tree whose nodes are labeled by configurations such that: (1) the root is labeled by C_{init} , (2) each leaf node is labeled by a terminal configuration, and (3) each internal node x is labeled by a non-terminal configuration C and has two children labeled by $succ_L(C)$ and $succ_R(C)$, respectively. By our assumptions, T_{full} is *finite*. For a configuration C , we say that C is *reachable* if there is some node in T_{full} which is labeled by C . Note that for all nodes x and x' of T_{full} , if x and x' are labeled by the same configuration, then the subtrees rooted at x and x' are isomorphic. Thus, if C is a reachable configuration, we denote by $height(C)$ the height of any subtree of T_{full} rooted at a node labeled by C . Furthermore, if C is a reachable configuration, we say that C *leads to acceptance* if, and only if, the following is inductively satisfied: or (1) C is accepting, or (2) C is an existential configuration and $succ_{dir}(C)$ leads to acceptance for some $dir \in \{L, R\}$, or (3) C is an universal configuration and $succ_{dir}(C)$ leads to acceptance for each $dir \in \{L, R\}$. Evidently, there is an accepting computation tree of \mathcal{M} over ε if, and only if, C_{init} leads to acceptance. Now, we prove some preliminary results.

Claim 1: Let $v \in V$ and v_{safe} be a safe position in $\{safe_L, safe_R, safe_{choice}\}$ such that $\text{obs}(v) = \text{obs}(v_{safe})$ (note that v and v_{safe} can coincide). Then, for each $I_0 \subseteq V$ such that $v, v_{safe} \in I_0$ and play ρ starting from v , the following holds: for each $k \geq 0$, $I(\rho^k, I_0) \not\subseteq S$.

Proof of Claim 1: Let $\rho = v'_0 a_1 v'_1 \dots$ with $v'_0 = v$. Since each safe position in $\{safe_L, safe_R, safe_{choice}\}$ is not in the secret S , it suffices to show that for each $k \geq 0$, $I(\rho^k, I_0)$ contains a safe position $v_{k,safe}$ and $\text{obs}(v_{k,safe}) = \text{obs}(v'_k)$. This is proved by induction on $k \geq 0$. The base case ($k = 0$) is obvious. Now, assume that $I(\rho^k, I_0)$ contains some safe position $v_{k,safe}$ such that $\text{obs}(v_{k,safe}) = \text{obs}(v'_k)$. There are three cases:

- $\text{obs}(v_{k,safe}) = safe_L$: hence, $\text{obs}(v_{k,safe}) = \text{obs}(v'_k) = \gamma_L$. By definition of the transition function, $\text{obs}(v'_{k+1}) = \gamma_{choice}$, and $\Delta(safe_L, a_{k+1}) = \{safe_{choice}\}$. Since $\text{obs}(v'_{k+1}) = \text{obs}(safe_{choice})$ and $safe_{choice} \in \Delta(I(\rho^k, I_0), a_{k+1})$, the result follows.
- $\text{obs}(v_{k,safe}) = safe_R$: this case is similar to the previous one.

- $\text{obs}(v_{k,\text{safe}}) = \text{safe}_{\text{choice}}$: hence, $\text{obs}(v_{k,\text{safe}}) = \text{obs}(v'_k) = \gamma_{\text{choice}}$. By definition of the transition function, $\text{obs}(v'_{k+1}) = \gamma_{\text{dir}}$ for some $\text{dir} \in \{L, R\}$. Moreover, $\Delta(\text{safe}_{\text{choice}}, a_{k+1}) = \{\text{safe}_L, \text{safe}_R\}$. Since $\text{obs}(v'_{k+1}) = \text{obs}(\text{safe}_{\text{dir}})$ and $\text{safe}_{\text{dir}} \in \Delta(I(\rho^k, I_0), a_{k+1})$, the result follows.

Claim 2: Let C be a reachable configuration which leads to acceptance. Then, for each $v \in I_{\text{choice}}(C)$, there is a winning strategy of Gerald from position v w.r.t. $I_{\text{choice}}(C)$.

Proof of Claim 2: The proof is by induction on $\text{height}(C)$.

Base case: $\text{height}(C) = 0$, hence C is a terminal configuration. Since C leads to acceptance, C is accepting. Let $v \in I_{\text{choice}}(C)$. We show that each play from v satisfies the opacity condition for S , hence the result follows. Let $\rho = v'_0 a_1 v'_1 \dots$ be a play from v . By definition of the transition function, $v'_1 \in \{\text{safe}_L, \text{safe}_R\}$. By Claim 1, it follows that for each $k > 0$, $I(\rho^k) \not\subseteq S$. Since $v'_0 = v \notin S$, the result follows.

Induction step: $\text{height}(C) > 0$, hence C is a non-terminal configuration. There are two cases:

- C is universal: since C leads to acceptance, $\text{succ}_{\text{dir}}(C)$ leads to acceptance for each $\text{dir} \in \{L, R\}$. Since $\text{height}(\text{succ}_{\text{dir}}(C)) < \text{height}(C)$ for each $\text{dir} \in \{L, R\}$, by the induction hypothesis, it follows that for each $v \in I_{\text{choice}}(\text{succ}_{\text{dir}}(C))$, there is a winning strategy β_{dir}^v of Gerald from position v w.r.t. $I_{\text{choice}}(\text{succ}_{\text{dir}}(C))$. Let $v \in I_{\text{choice}}(C)$. We define a strategy $\beta^v : v(\Sigma V)^* \Sigma \rightarrow V$ of Gerald from position v as follows. For all $k \geq 0$, $a \in \Sigma$, and $w \in (\Sigma V)^k$, $\beta^v(vwa)$ is defined as follows:
 - $k \leq 1$: let v' be the last position in w and v'' be an arbitrary position in $\Delta(v', a)$. We set $\beta^v(vwa) = v''$.
 - $k > 1$: hence, vwa can be written in the form $va_1 v_1 a_2 v_2 w' a$. If $v_2 \in I_{\text{choice}}(\text{succ}_{\text{dir}}(C))$ for some $\text{dir} \in \{L, R\}$, we set $\beta^v(vwa) = \beta_{\text{dir}}^{v_2}(v_2 w' a)$. Otherwise, let v' be the last position in w and v'' be an arbitrary position in $\Delta(v', a)$. We set $\beta^v(vwa) = v''$.

Now, we show that β^v is a winning strategy for Gerald from position v w.r.t. $I_{\text{choice}}(C)$. Let $\rho = v'_0 a_1 v'_1 \dots$ be an outcome of β^v (hence, $v'_0 = v$). We need to show that ρ is winning for Gerald w.r.t. $I_{\text{choice}}(C)$, i.e. for each $k \geq 0$, $I(\rho^k, I_{\text{choice}}(C)) \not\subseteq S$. By definition of the transition function, either $v'_1 \in \{\text{safe}_L, \text{safe}_R\}$ or there is $\text{dir} \in \{L, R\}$ such that v'_1 is the position in $I_{\text{dir}}(C)$ associated with v . In the first case, since $I_{\text{choice}}(C) \not\subseteq S$, by Claim 1, we deduce that $I(\rho^k, I_{\text{choice}}(C)) \not\subseteq S$ for each $k \geq 0$. Thus, in this case, the result holds. Now, assume that v'_1 is the position in $I_{\text{dir}}(C)$ associated with v . By definition of the transition function, $a_1 = \forall_{\text{dir}}$, and we easily deduce that $I(\rho^1, I_{\text{choice}}(C)) = I_{\text{dir}}(C)$. Moreover, $\Delta(v'_1, a_2)$ is a singleton and there are two cases: either $I(\rho^2, I_{\text{choice}}(C))$ contains the safe position $\text{safe}_{\text{choice}}$ or $I(\rho^2, I_{\text{choice}}(C)) = I_{\text{choice}}(\text{succ}_{\text{dir}}(C))$. In the first case, since $I(\rho^k, I_{\text{choice}}(C)) \not\subseteq S$ for each $k = 0, 1$, the result directly follows from Claim 1. In the second case, $v'_2 \in I_{\text{choice}}(\text{succ}_{\text{dir}}(C))$, and by definition of β^v , the suffix $v'_2 a_2 v'_3 \dots$ of ρ is an outcome of strategy $\beta_{\text{dir}}^{v'_2}$. Since this suffix is winning for Gerald w.r.t. $I_{\text{choice}}(\text{succ}_{\text{dir}}(C))$, the result follows.

- C is existential: since C leads to acceptance, there is $\text{dir} \in \{L, R\}$ such that $\text{succ}_{\text{dir}}(C)$ leads to acceptance. Since $\text{height}(\text{succ}_{\text{dir}}(C)) < \text{height}(C)$, by the induction hypothesis, we have that for each $v \in I_{\text{choice}}(\text{succ}_{\text{dir}}(C))$, there is a winning strategy β_{dir}^v of Gerald from position v w.r.t. $I_{\text{choice}}(\text{succ}_{\text{dir}}(C))$. Let $v \in I_{\text{choice}}(C)$. We define a strategy $\beta^v : v(\Sigma V)^* \Sigma \rightarrow V$ of Gerald from position v as follows. For all $k \geq 0$, $a \in \Sigma$, and $w \in (\Sigma V)^k$, $\beta^v(vwa)$ is defined as follows:
 - $k = 0$: since C is existential, by definition of the transition function if $a = \exists$, then $\Delta(v, a) = \{v_L, v_R\}$, where v_L (resp. v_R) is the position in $I_L(C)$ (resp. $I_R(C)$) associated with v . In this case, we set $\beta^v(va) = v_{\text{dir}}$. If instead $a \neq \exists$, then $\Delta(v, a) = \{\text{safe}_L, \text{safe}_R\}$, and we set $\beta^v(va)$ to an arbitrary position in $\{\text{safe}_L, \text{safe}_R\}$.

- $k = 1$: let $w = a_1v_1$ and v_2 be an arbitrary position in $\Delta(v_1, a)$. We set $\beta^v(vwa) = v_2$.
- $k > 1$: hence, vwa can be written in the form $va_1v_1a_2v_2w'a$. If $v_2 \in I_{choice}(succ_{dir}(C))$, we set $\beta^v(vwa) = \beta_{dir}^{v_2}(v_2w'a)$. Otherwise, let v' be the last position in w and v'' be an arbitrary position in $\Delta(v', a)$. We set $\beta^v(vwa) = v''$.

Now, we show that β^v is a winning strategy for Gerald from position v w.r.t. $I_{choice}(C)$. Let $\rho = v'_0a_1v'_1\dots$ be an outcome of β^v (hence, $v'_0 = v$). We need to show that ρ is winning for Gerald w.r.t. $I_{choice}(C)$. By definitions of the transition function and strategy β^v , either $v'_1 \in \{safe_L, safe_R\}$ or v'_1 is the position in $I_{dir}(C)$ associated with v . In the first case, since $I_{choice}(C) \not\subseteq S$, by Claim 1, we deduce that $I(\rho^k, I_{choice}(C)) \not\subseteq S$ for each $k \geq 0$. Thus, in this case, the result holds. Now, assume that v'_1 is the position in $I_{dir}(C)$ associated with v . By definition of the transition function, $a_1 = \exists$, and we easily deduce that $I(\rho^1, I_{choice}(C)) = I_{dir}(C)$. Moreover, $\Delta(v'_1, a_2)$ is a singleton and there are two cases: either $I(\rho^2, I_{choice}(C))$ contains the safe position $safe_{choice}$ or $I(\rho^2, I_{choice}(C)) = I_{choice}(succ_{dir}(C))$. In the first case, since $I(\rho^k, I_{choice}(C)) \not\subseteq S$ for each $k = 0, 1$, the result directly follows from Claim 1. In the second case, $v'_2 \in I_{choice}(succ_{dir}(C))$, and by definition of β^v , the suffix $v'_2a_2v'_3\dots$ of ρ is an outcome of strategy $\beta_{dir}^{v'_2}$. Since this suffix is winning for Gerald w.r.t. $I_{choice}(succ_{dir}(C))$, the result follows.

Claim 3: Let C be a reachable configuration and $v \in I_{choice}(C)$. If there is a winning strategy of Gerald from position v w.r.t. $I_{choice}(C)$, then C leads to acceptance.

Proof of Claim 3: Let β be a winning strategy of Gerald from position v w.r.t. $I_{choice}(C)$. We show by induction on $height(C)$ that C leads to acceptance.

Base case: $height(C) = 0$, hence C is a terminal configuration. By hypothesis $I_{choice}(C) \not\subseteq S$. By definition of S , we deduce that C is accepting, and the result follows.

Induction step: $height(C) > 0$, hence C is a non-terminal configuration. Then, there is $i \in [n]$ such that v is associated with the i -th cell of C . For each $dir \in \{L, R\}$, we denote by v_{dir} (resp. v_{dir}^{succ}) the position in $I_{dir}(C)$ (resp. $I_{choice}(succ_{dir}(C))$) associated with the i -th cell of C (resp. $succ_{dir}(C)$). Moreover, let b_{dir} be the content of the cell being scanned in $succ_{dir}(C)$. We distinguish two cases:

- C is universal: we show that for each $dir \in \{L, R\}$, there is a winning strategy β_{dir} of Gerald from position v_{dir}^{succ} w.r.t. $I_{choice}(succ_{dir}(C))$. Hence, by the induction hypothesis, the result follows. By definition of the transition function, for each $dir \in \{L, R\}$, there is an outcome ρ_{dir} of β having the form $\rho_{dir} = v \forall_{dir} v_{dir} b_{dir} v_{dir}^{succ} \dots$ such that $I(\rho_{dir}^2, I_{choice}(C)) = I_{choice}(succ_{dir}(C))$. Then, for each $w \in (\Sigma V)^*$ and $a \in \Sigma$, we set $\beta_{dir}(v_{dir}^{succ} wa) = \beta(v \forall_{dir} v_{dir} b_{dir} v_{dir}^{succ} wa)$. Evidently, β_{dir} is a winning strategy of Gerald from position v_{dir}^{succ} w.r.t. $I_{choice}(succ_{dir}(C))$, and the result holds.
- C is existential: we show that there exists $dir \in \{L, R\}$ such that there is a winning strategy β_{dir} of Gerald from position v_{dir}^{succ} w.r.t. $I_{choice}(succ_{dir}(C))$. Hence, by the induction hypothesis, the result follows. By definition of the transition function, there exists $dir \in \{L, R\}$ and an outcome ρ_{dir} of β having the form $\rho_{dir} = v \exists v_{dir} b_{dir} v_{dir}^{succ} \dots$ such that $I(\rho_{dir}^2, I_{choice}(C)) = I_{choice}(succ_{dir}(C))$. Then, for each $w \in (\Sigma V)^*$ and $a \in \Sigma$, we set $\beta_{dir}(v_{dir}^{succ} wa) = \beta(v \exists v_{dir} b_{dir} v_{dir}^{succ} wa)$. Evidently, β_{dir} is a winning strategy of Gerald from position v_{dir}^{succ} w.r.t. $I_{choice}(succ_{dir}(C))$, and the result holds.

Now, we prove Theorem 6.

Proof of Theorem 6: First, assume that there is an accepting computation tree of \mathcal{M} over ε . Hence, C_{init} leads to acceptance. By Claim 2, for each position $v \in I_{choice}(C_{init})$, there is a winning strategy β^v of Gerald from position v w.r.t. $I_{choice}(C_{init})$. Moreover, by the definition of the transition function, each play (from the initial position) has the form $\rho = v_0a_0v\dots$ such that $v \in I_{choice}(C_{init})$ and $I(\rho^1) = I_{choice}(C_{init})$. Let β be the strategy of Gerald defined as follows:

- for each $a \in \Sigma$, $\beta(v_0a)$ is an arbitrary position in $\Delta(v_0, a)$;
- for each $a_1v_1w \in (\Sigma V)^*$ and $a \in \Sigma$, if $v_1 \notin I_{choice}(C_{init})$, then $\beta(v_0a_1v_1wa)$ is some arbitrary position in $\Delta(v', a)$, where v' is the last position in a_1v_1w ; otherwise, we set $\beta(v_0a_1v_1wa) = \beta^{v_1}(v_1wa)$.

Evidently, β is a winning strategy of Gerald.

Now, assume that there is a winning strategy β of Gerald. Let v be an arbitrary position in $I_{choice}(C_{init})$ and let β^v be the strategy of Gerald from position v defined as follows: for each $a \in \Sigma$ and $w \in (\Sigma V)^*$, $\beta^v(vwa) = \beta(v_0a_0vwa)$. Since β is winning for Gerald, by definition of the transition function, it follows that β^v is a winning strategy of Gerald from position v w.r.t. $I_{choice}(C_{init})$. By Claim 3, it follows that C_{init} leads to acceptance. Hence, there is an accepting computation tree of \mathcal{M} over ε , which concludes.