New results on pushdown module checking with imperfect information

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Model checking of open pushdown systems (OPD) w.r.t. standard branching temporal logics (*pushdown module checking* or PMC) has been recently investigated in the literature, both in the context of environments with perfect and imperfect information about the system (in the last case, the environment has only a partial view of the system's control states and stack content). For standard CTL, PMC with imperfect information is known to be undecidable. If the stack content is assumed to be *visible*, then the problem is decidable and 2EXPTIME-complete (matching the complexity of PMC with perfect information against CTL). The decidability status of PMC with imperfect information against CTL restricted to the case where the depth of the stack content is visible is open. In this paper, we show that with this restriction, PMC with imperfect information against CTL remains undecidable. On the other hand, we individuate an interesting subclass of OPDs with visible stack content depth such that PMC with imperfect information against the existential fragment of CTL is decidable and in 2EXPTIME. Moreover, we show that the *program complexity* of PMC with imperfect information and visible stack content against CTL is 2EXPTIME-complete (hence, exponentially harder than the program complexity of PMC with perfect information, which is known to be EXPTIME-complete).

1 Introduction

Verification of open systems. In the literature, formal verification of open systems is in general formulated as two-players games (between the system and the environment). This setting is suitable when the correctness requirements on the behavior of the system are formalized by linear-time temporal logics. In order to take into account also requirements expressible in branching-time temporal logics, recently, Kupferman, Vardi, and Wolper [13, 16] introduce the *module checking* framework for the verification of finite-state open systems. In such a framework, the open finite-state system is described by a labeled state-transition graph called *module*, whose set of states is partitioned into a set of *system states* (where the system makes a transition) and a set of *environment states* (where the environment makes a transition). Given a module $\mathscr{M}$ describing the system to be verified, and a branching-time temporal formula φ specifying the desired behavior of the system, the *module checking problem* asks whether for all possible environments, $\mathscr{M}$ satisfies φ. In particular, it might be that the environment does not enable all the external nondeterministic choices. Module checking thus involves not only checking that the full computation tree $T_{\mathscr{M}}$ obtained by unwinding $\mathscr{M}$ (which corresponds to the interaction of $\mathscr{M}$ with a maximal environment) satisfies the specification φ, but also that every tree obtained from it by pruning children of environment nodes (this corresponds to disable possible environment choices) satisfy φ. In [14] module checking for finite-state systems has been extended to a setting where the environment has *imperfect information* about the states of the system (see also [17, 9] for related work regarding imperfect information). In this setting, every state of the module is a composition of *visible* and *invisible* variables where the latter are hidden to the environment. Thus, the composition of a module $\mathscr{M}$ with an environment with imperfect information corresponds to a tree obtained from $T_{\mathscr{M}}$ by pruning children of environment nodes in such a way that the pruning is consistent with the partial information available

Giovanna D'Agostino, Salvatore La Torre (Eds.):
Second International Symposium on "Games, Automata, Logics and Formal Verification" (GandALF'11)
EPTCS ??, 2011, pp. 1–16, doi:10.4204/EPTCS.??.??

to the environment. One of the results in [14] is that CTL finite-state module checking with imperfect information has the same complexity as CTL finite-state module checking with perfect information, i.e., it is EXPTIME-complete, but its *program complexity* (i.e., the complexity of the problem in terms of the size of the system) is exponentially harder, i.e. EXPTIME-complete.

Pushdown module checking. An active field of research is model-checking of pushdown systems. These represent an infinite-state formalism suitable to model the control flow of recursive sequential programs. The model checking problem of (closed) pushdown systems against standard regular temporal logics (such as LTL, CTL, CTL*, or the modal μ-calculus) is decidable and it has been intensively studied in recent years leading to efficient verification algorithms and tools (see for example [18, 4, 3]). Recently, in [7, 2, 11], the module checking framework has been extended to the class of *open pushdown systems* (OPD), i.e. pushdown systems in which the set of configurations is partitioned (in accordance with the control state and the symbol on the top of the stack) into a set of *system configurations* and a set of *environment configurations*. *Pushdown module checking* (PMC, for short) against standard branching temporal logics, like CTL and CTL*, has been investigated both in the context of environments with perfect information [7] and imperfect information [2, 11] about the system (in the last case, the environment has only a partial view of the system's control states and stack content). For the perfect information setting, as in the case of finite-state systems, PMC is much harder than standard pushdown model checking for both CTL and CTL*. For example, for CTL, while pushdown model checking is EXPTIME-complete [19], PMC with perfect information is 2EXPTIME-complete [7] (however, the program complexities of the two problems are the same, i.e., EXPTIME-complete [6, 7]). For the imperfect information setting, PMC against CTL is in general undecidable [2], and undecidability relies on hiding information about the stack content. The decidability status for the last problem restricted to the class of OPDs where the *stack content depth* is visible is left open in [2]. On the other hand, PMC with imperfect information against CTL restricted to the class of OPDs with imperfect information about the internal control states, but a visible stack content, is decidable and has the same complexity as PMC with perfect information. However, its program complexity is open: it lies somewhere between EXPTIME and 2EXPTIME [2].

Our contribution. We establish new results on PMC with imperfect information against CTL. Moreover, we also consider a subclass of OPDs, we call *stable* OPDs, where the transition relation is consistent with the partial information available to the environment. Our main results are the following.

- The *program complexity* of PMC with imperfect information against CTL restricted to the class of OPDs with *visible stack content* is 2EXPTIME-hard,[1] even for a fixed formula of the existential fragment ECTL of CTL (hence, exponentially harder than the program complexity of PMC with perfect information against CTL, which is known to be EXPTIME-complete [7]). The result is obtained by a polynomial-time reduction from the acceptance problem for EXPSPACE-bounded Alternating Turing Machines, which is known to be 2EXPTIME-complete [8].
- PMC with imperfect information against CTL restricted to the class of OPDs with *visible stack content depth* is undecidable, even if the CTL formula is assumed to be in the fragment of CTL using only temporal modalities EF and EX, and their duals, and the OPD is assumed to be *stable* and having only environment configurations. The result is obtained by a reduction from the Post's Correspondence Problem, a well known undecidable problem [12].
- PMC with imperfect information against the *existential fragment* ECTL of CTL restricted to the class of *stable* OPDs with *visible stack content depth* and having only environment configurations

[1] hence, 2EXPTIME-complete, since PMC with imperfect information against CTL restricted to the class of OPDs with *visible stack content* is known to be 2EXPTIME-complete [2]

is instead decidable and in 2EXPTIME. The result is proved by a reduction to non-emptiness of Büchi alternating visible pushdown automata (AVPA) [5], which is 2EXPTIME-complete [5].

The full version of this paper can be asked to the author by e-mail.

2 Preliminaries

Let $\mathbb{N}$ be the set of natural numbers. A tree T is a prefix closed subset of $\mathbb{N}^*$. The elements of T are called *nodes* and the empty word ε is the *root* of T. For $x \in T$, the set of *children* of x (in T) is $\mathsf{children}(T,x) = \{x \cdot i \in T \mid i \in \mathbb{N}\}$. For $x \in T$, a (full) *path* of T from x is a maximal sequence $\pi = x_1, x_2, \ldots$ of nodes in T such that $x_1 = x$ and for each $1 \leq i < |\pi|$, $x_{i+1} \in \mathsf{children}(T,x_i)$. In the following, for a path of T, we mean a path of T from the root ε. For an alphabet Σ, a Σ-labeled tree is a pair $\langle T,V\rangle$, where T is a tree and $V : T \to \Sigma$ maps each node of T to a symbol in Σ. Given two Σ-labeled trees $\langle T,V\rangle$ and $\langle T',V'\rangle$, we say that $\langle T,V\rangle$ *is contained in* $\langle T',V'\rangle$ if $T \subseteq T'$ and $V'(x) = V(x)$ for each $x \in T$. In order to simplify the notation, sometimes we write simply T to denote a Σ-labeled tree $\langle T,V\rangle$.

2.1 Module checking with imperfect information

In this paper we consider *open systems*, i.e. systems that interact with their environment and whose behavior depends on this interaction. Moreover, we consider the case where the environment has imperfect information about the states of the system. This is modeled by an equivalence relation $\cong$ on the set of states. States that are indistinguishable by the environment, because the difference between them is kept invisible by the system, are equivalent according to $\cong$. We describe an open system by an *open* Kripke structure (called also *module* [16]) $\mathscr{M} = \langle AP, S = S_{sy} \cup S_{en}, s_0, R, L, \cong\rangle$, where AP is a finite set of atomic propositions, S is a (possibly infinite) set of states partitioned into a set S_{sy} of *system* states and a set S_{en} of *environment* states, and $s_0 \in S$ is a designated initial state. Moreover, $R \subseteq S \times S$ is a transition relation, $L : S \to 2^{AP}$ maps each state s to the set of atomic propositions that hold in s, and $\cong$ is an equivalence relation on the set of states S. Since the designation of a state as an environment state is obviously known to the environment, we require that for all states s, s' such that $s \cong s'$, $s \in S_{en}$ iff $s' \in S_{en}$. For each $s \in S$, we denote by $\mathsf{vis}(s)$ the equivalence class of s w.r.t. $\cong$. Intuitively, $\mathsf{vis}(s)$ represents what the environment "sees" of s. A successor of s is a state s' such that $(s,s') \in R$. State s is *terminal* if it has no successor. When the module $\mathscr{M}$ is in a non-terminal *system* state $s \in S_{sy}$, then all the successors of s are possible next states. On the other hand, when $\mathscr{M}$ is in a non-terminal *environment* state $s \in S_{en}$, then the environment decides, based on the visible part of each successor of s, and of the history of the computation so far, to which of the successor states the computation can proceed, and to which it can not. Additionally, we consider environments that cannot block the system, i.e. not all the transitions from a non-terminal environment state are disabled. For a state s of $\mathscr{M}$, let $T_{\mathscr{M},s}$ be the *computation tree of* $\mathscr{M}$ *from* s, i.e. the S-labeled tree obtained by unwinding $\mathscr{M}$ starting from s in the usual way. Note that $T_{\mathscr{M},s}$ describes the behavior of $\mathscr{M}$ under the *maximal* environment, i.e. the environment that never restricts the set of next states. The behavior of $\mathscr{M}$ under a specific environment (possibly different from the maximal one) is formalized by the notion of *strategy tree* as follows. For a node x of the computation tree $T_{\mathscr{M},s}$, let $s_1, \ldots, s_p$ be the sequence of states labeling the partial path from the root to node x. We denote by $\mathsf{vis}(x)$ the sequence $\mathsf{vis}(s_1), \ldots, \mathsf{vis}(s_p)$, which represents the visible part of the (partial) computation $s_1, \ldots, s_p$ associated with node x. A *strategy tree from* s is a S-labeled tree obtained from the computation tree $T_{\mathscr{M},s}$ by pruning from $T_{\mathscr{M},s}$ subtrees whose roots are children of nodes labeled by environment states. Additionally, we require that such a pruning is consistent with the partial information available to the

environment: if two nodes x_1 and x_2 of $T_{\mathscr{M},s}$ are indistinguishable, i.e. $\mathsf{vis}(x_1) = \mathsf{vis}(x_2)$, then the subtree rooted at x_1 is pruned iff the subtree rooted at x_2 is pruned as well. Formally, a strategy tree of $\mathscr{M}$ from a state $s \in S$ is a S-labeled tree ST such that ST is contained in $T_{\mathscr{M},s}$ and the following holds:

- for each node x of ST labeled by a *system* state, $\mathsf{children}(ST,x) = \mathsf{children}(T_{\mathscr{M},s},x)$;
- for each node x of ST labeled by an *environment* state, $\mathsf{children}(ST,x) \neq \emptyset$ if $\mathsf{children}(T_{\mathscr{M},s},x) \neq \emptyset$;
- for all nodes x_1 and x_2 of $T_{\mathscr{M},s}$ such that $\mathsf{vis}(x_1) = \mathsf{vis}(x_2)$, x_1 is a node of ST iff x_2 is a node of ST. Note that if x_1 is a child of an environment node, then so is x_2.

For a node x of ST, $\mathsf{state}(x)$ denotes the S-state labeling x. A strategy tree of $\mathscr{M}$ is a strategy tree of $\mathscr{M}$ from the initial state. In the following, a strategy tree ST is seen as a 2^{AP}-labeled tree, i.e. taking the label of a node x to be $L(\mathsf{state}(x))$. We also consider a restricted class of modules. A module $\mathscr{M}$ is *stable* (*w.r.t. visible information*) iff for all states s_1 and s_2 s.t. $\mathsf{vis}(s_1) = \mathsf{vis}(s_2)$ and both s_1 and s_2 have some successor, it holds that: for each successor s_1' of s_1, there is a successor s_2' of s_2 s.t. $\mathsf{vis}(s_1') = \mathsf{vis}(s_2')$. Note that this notion is similar to that given in [17] for standard imperfect information games.

CTL Module Checking: as specification logical language, we consider the standard branching temporal logic CTL [10], whose formulas φ over AP are assumed to be in positive normal form, i.e. defined as:

$$\varphi := \mathtt{true} \mid prop \mid \neg prop \mid \varphi \vee \varphi \mid \varphi \wedge \varphi \mid \mathsf{EX}\varphi \mid \mathsf{AX}\varphi \mid \mathsf{E}(\varphi\,\mathsf{U}\,\varphi) \mid \mathsf{A}(\varphi\,\mathsf{U}\,\varphi) \mid \mathsf{E}(\varphi\,\widetilde{\mathsf{U}}\,\varphi) \mid \mathsf{A}(\varphi\,\widetilde{\mathsf{U}}\,\varphi)$$

where $prop \in AP$, E (resp., A) is the existential (resp., universal) path quantifier, X and U are the next and until temporal operators, and $\widetilde{\mathsf{U}}$ is the dual of U. We use classical shortcuts: $\mathsf{EF}\varphi$ is for $\mathsf{E}(\mathtt{true}\,\mathsf{U}\,\varphi)$ ("existential eventually") and $\mathsf{AF}\varphi$ is for $\mathsf{A}(\mathtt{true}\,\mathsf{U}\,\varphi)$ ("universal eventually"), and their duals $\mathsf{AG}\varphi := \neg\mathsf{EF}\neg\varphi$ and $\mathsf{EG}\varphi := \neg\mathsf{AF}\neg\varphi$. We also consider the universal (resp., existential) fragment ACTL (resp., ECTL) of CTL obtained by disallowing the existential (resp., universal) path quantifier, and the fragment $\mathsf{CTL}(\mathsf{EF},\mathsf{EX},\mathsf{AG},\mathsf{AX})$ using only temporal modalities EF and EX, and their duals. For a definition of the semantics of CTL (which is given with respect to 2^{AP}-labeled trees) see [10].

For a module $\mathscr{M}$ and a CTL formula φ over AP, $\mathscr{M}$ *reactively satisfies* φ, denoted $\mathscr{M} \models_r \varphi$, if all the strategy trees of $\mathscr{M}$ (from the initial state) satisfy φ. Note that $\mathscr{M} \not\models_r \varphi$ is *not* equivalent to $\mathscr{M} \models_r \neg\varphi$. Indeed, $\mathscr{M} \not\models_r \varphi$ just states that there is some strategy tree ST satisfying $\neg\varphi$.

2.2 Pushdown Module Checking with Imperfect Information

In this paper we consider Modules induced by Open Pushdown Systems (OPD, for short), i.e., Pushdown systems where the set of configurations is partitioned (in accordance with the control state and the symbol on the top of the stack) into a set of environment configurations and a set of system configurations.

An OPD is a tuple $\mathscr{S} = \langle AP, Q, q_0, \Gamma, \flat, \Delta, \mu, Env\rangle$, where AP is a finite set of propositions, Q is a finite set of control states, $q_0 \in Q$ is the initial control state, Γ is a finite stack alphabet, $\flat \notin \Gamma$ is the *special stack bottom symbol*, $\Delta \subseteq (Q \times Q) \cup (Q \times Q \times \Gamma) \cup (Q \times (\Gamma \cup \{\flat\}) \times Q)$ is the transition relation, $\mu : Q \times (\Gamma \cup \{\flat\}) \to 2^{AP}$ is a labeling function, and $Env \subseteq Q \times (\Gamma \cup \{\flat\})$ is used to specify the set of environment configurations. A transition of the form (q,q',γ), written $q \xrightarrow{\mathtt{push}(\gamma)} q'$, is a push transition, where $\gamma \neq \flat$ is pushed onto the stack (and the control changes from q to q'). A transition of the form (q,γ,q'), written $q \xrightarrow{\mathtt{pop}(\gamma)} q'$, is a pop transition, where γ is popped from the stack. Finally, a transition of the form (q,q'), written $q \to q'$, is an *internal* transition, where the stack is not used. We assume that $Q \subseteq 2^{I \cup H}$, where I and H are disjoint finite sets of *visible* and *invisible control variables*, and $\Gamma \subseteq 2^{I_\Gamma \cup H_\Gamma}$, where I_Γ and H_Γ are disjoint finite sets of *visible* and *invisible stack content variables*.

A *configuration or state* of $\mathscr{S}$ is a pair (q,α), where $q \in Q$ and $\alpha \in \Gamma^* \cdot \flat$ is a stack content. We denote by $\mathsf{top}(\alpha)$ the *top of the stack content* α, i.e. the leftmost symbol of α. For a control state $q \in Q$,

the visible part of q is $\mathsf{vis}(q) = q \cap I$. For a stack symbol $\gamma \in \Gamma$, if $\gamma \subseteq H_\Gamma$ and $\gamma \neq \emptyset$, we set $\mathsf{vis}(\gamma) = \varepsilon$, otherwise we set $\mathsf{vis}(\gamma) = \gamma \cap I_\Gamma$. By setting $\mathsf{vis}(\gamma) = \varepsilon$ whenever γ consists entirely of invisible variables, we allow the system to completely hide a push operation. The *visible part of a configuration* (q,α) is $(\mathsf{vis}(q), \mathsf{vis}(\alpha))$, where for $\alpha = \gamma_0 \dots \gamma_n \cdot \flat$, $\mathsf{vis}(\alpha) = \mathsf{vis}(\gamma_0) \dots \mathsf{vis}(\gamma_n) \cdot \flat$. The *stack content* (resp., the *control*) *is visible* if $H_\Gamma = \emptyset$ (resp., $H = \emptyset$). Moreover, the *stack content depth is visible* if $\mathsf{vis}(\gamma) \neq \varepsilon$ for each stack symbol $\gamma \in \Gamma$. Since the designation of an OPD state as an environment state is known to the environment, we require that for all states (q,α) and (q',α') such that $(\mathsf{vis}(q), \mathsf{vis}(\mathsf{top}(\alpha))) = (\mathsf{vis}(q'), \mathsf{vis}(\mathsf{top}(\alpha')))$, $(q, \mathsf{top}(\alpha)) \in Env$ iff $(q', \mathsf{top}(\alpha')) \in Env$. The OPD $\mathscr{S}$ induces an infinite-state module $\mathscr{M}_\mathscr{S} = \langle AP, S = S_{sy} \cup S_{en}, s_0, R, L, \cong \rangle$, defined as follows:

- $S_{sy} \cup S_{en}$ is the set of configurations of $\mathscr{S}$, and S_{en} is the set of states (q,α) s.t. $(q, \mathsf{top}(\alpha)) \in Env$;
- $s_0 = (q_0, \flat)$ is the initial configuration (initially, the stack is empty);
- $((q,\alpha),(q',\alpha')) \in R$ iff: or (1) $q \to q' \in \Delta$ and $\alpha' = \alpha$, or (2) $q \xrightarrow{\mathtt{push}(\gamma)} q' \in \Delta$ and $\alpha' = \gamma \cdot \alpha$, or (3) $q \xrightarrow{\mathtt{pop}(\gamma)} q' \in \Delta$, and either $\alpha' = \alpha = \gamma = \flat$ or $\gamma \neq \flat$ and $\alpha = \gamma \cdot \alpha'$ (note that every pop transition that removes $\flat$ also pushes it back);
- $L((q,\alpha)) = \mu((q, \mathsf{top}(\alpha)))$ for all $(q,\alpha) \in S$;
- for all $(q,\alpha),(q',\alpha') \in S$, we have that $(q,\alpha) \cong (q',\alpha')$ iff $(\mathsf{vis}(q), \mathsf{vis}(\alpha)) = (\mathsf{vis}(q'), \mathsf{vis}(\alpha'))$.

A strategy tree of $\mathscr{S}$ is a strategy tree of $\mathscr{M}_\mathscr{S}$ from the initial state. Given $(q,\gamma) \in Q \times (\Gamma \cup \{\flat\})$, (q,γ) is *non-terminal* (w.r.t. $\mathscr{S}$) iff: or $q \to q' \in \Delta$ or $q \xrightarrow{\mathtt{pop}(\gamma)} q' \in \Delta$ or $q \xrightarrow{\mathtt{push}(\gamma')} q' \in \Delta$ for some $q' \in Q$ and $\gamma' \in \Gamma$. Note that a state (q,α) of $\mathscr{S}$ has some successor (in $\mathscr{M}_\mathscr{S}$) iff $(p, \mathsf{top}(\alpha))$ is non-terminal. We also consider a subclass of OPD. An OPD $\mathscr{S} = \langle AP, Q, q_0, \Gamma, \flat, \Delta, \mu, Env \rangle$ is *stable* iff for all non-terminal pairs $(q_1,\gamma_1),(q_2,\gamma_2) \in Q \times (\Gamma \cup \{\flat\})$ s.t. $\mathsf{vis}(q_1) = \mathsf{vis}(q_2)$ and $\mathsf{vis}(\gamma_1) = \mathsf{vis}(\gamma_2)$, the following holds:

- if $q_1 \to q_1' \in \Delta$, then there is $q_2 \to q_2' \in \Delta$ such that $\mathsf{vis}(q_1') = \mathsf{vis}(q_2')$;
- if $q_1 \xrightarrow{\mathtt{push}(\gamma)} q_1' \in \Delta$, then there is $q_2 \xrightarrow{\mathtt{push}(\gamma')} q_2' \in \Delta$ such that $\mathsf{vis}(q_1') = \mathsf{vis}(q_2')$ and $\mathsf{vis}(\gamma) = \mathsf{vis}(\gamma')$;
- if $q_1 \xrightarrow{\mathtt{pop}(\gamma_1)} q_1' \in \Delta$, then there is $q_2 \xrightarrow{\mathtt{pop}(\gamma_2)} q_2' \in \Delta$ such that $\mathsf{vis}(q_1') = \mathsf{vis}(q_2')$.

Remark 1. *Note that for a OPD $\mathscr{S}$ with visible stack content depth, $\mathscr{S}$ is stable iff $\mathscr{M}_\mathscr{S}$ is stable.*

In the rest of this paper, we consider OPD $\mathscr{S}$ where each state is labeled by a singleton in 2^{AP} (for a given set AP of atomic propositions), hence, the strategy trees can be seen as AP-labeled trees.

The *pushdown module checking problem* (*PMC*) *with imperfect information against CTL* is to decide, for a given OPD $\mathscr{S}$ and a CTL formula φ, whether $\mathscr{M}_\mathscr{S} \models_r \varphi$.

3 Pushdown module checking for OPD with visible stack content

In this section, we prove the following result.

Theorem 1. *The* program complexity *of PMC with imperfect information against CTL restricted to the class of OPDs with* visible stack content *is* 2EXPTIME*-hard, even for a fixed ECTL formula.*[2]

Theorem 1 is proved by a polynomial-time reduction from the acceptance problem for EXPSPACE-bounded *alternating Turing Machines* (TM) with a binary branching degree, which is known to be 2EXPTIME-complete [8]. In the rest of this section, we fix such a TM machine $\mathscr{T} = \langle A, Q = Q_\forall \cup$

[2] for program complexity, we mean the complexity of the problem in terms of the size of the OPD, for a fixed CTL formula

$Q_\exists, q_0, \delta, F\rangle$, where A is the input alphabet containing the blank symbol #, $Q_\exists$ (resp., $Q_\forall$) is the set of existential (resp., universal) states, q_0 is the initial state, $\delta : Q \times A \to (Q \times A \times \{\leftarrow, \rightarrow\}) \times (Q \times A \times \{\leftarrow, \rightarrow\})$ is the transition function, and $F \subseteq Q$ is the set of accepting states. Thus, in each step, $\mathscr{T}$ overwrites the tape cell being scanned, and the tape head moves one position to the left ($\leftarrow$) or right ($\rightarrow$). We fix an input $w_{in} \in A^*$ and consider the parameter $n = |w_{in}|$ (we assume that $n > 1$). Since $\mathscr{T}$ is EXPSPACE-bounded, we can assume that $\mathscr{T}$ uses exactly 2^n tape cells when started on the input w_{in}. Hence, a TM configuration (of $\mathscr{T}$ over w_{in}) is a word $C = w_1 \cdot (a,q) \cdot w_2 \in A^* \cdot (A \times Q) \cdot A^*$ of length exactly 2^n denoting that the tape content is $w_1 \cdot a \cdot w_2$, the current state is q, and the tape head is at position $|w_1| + 1$. C is *accepting* if the associated state q is in F. We denote by $succ_L(C)$ (resp., $succ_R(C)$) the TM successor of C obtained by choosing the left (resp., right) triple in $\delta(q,a)$. The initial configuration C_{in} is $(w_{in}(0), q_0), w_{in}(1), \ldots, w_{in}(n-1), \#, \#, \ldots, \#$, where the number of blanks at the right of $w_{in}(n-1)$ is $2^n - n$. For a TM configuration $C = C(0), \ldots, C(2^n - 1)$, the 'value' u_i of the i-th symbol of $succ_L(C)$ (resp., $succ_R(C)$) is completely determined by the values $C(i-1)$, $C(i)$ and $C(i+1)$ (taking $C(i+1)$ for $i = 2^n - 1$ and $C(i-1)$ for $i = 0$ to be some special symbol, say $\perp$). We denote by $next_L(C(i-1), C(i), C(i+1))$ (resp., $next_R(C(i-1), C(i), C(i+1))$) our expectation for u_i (these functions can be trivially obtained from the transition function δ of $\mathscr{T}$).

We prove the following result, hence, Theorem 1 follows (note that ECTL is the dual of ACTL).

Theorem 2. *One can construct in polynomial time (in the sizes of $\mathscr{T}$ and w_{in}) an OPD $\mathscr{S}$ with* visible stack content *such that $\mathscr{T}$* accepts *w_{in} iff there is a strategy tree of $\mathscr{S}$ satisfying a* fixed *computable ACTL formula φ (independent on $\mathscr{T}$ and w_{in}).*

In the following, first we describe a suitable encoding of acceptance of $\mathscr{T}$ over w_{in}. Then, we illustrate the construction of the OPD of Theorem 2 based on this encoding.

Preliminary step: encoding of acceptance of $\mathscr{T}$ over w_{in}. We use the following set Γ of symbols (which will correspond to the stack alphabet of the OPD $\mathscr{S}$ of Theorem 2):[3]

$$\Gamma = \Lambda \cup \{L, R, 0, 1, \exists, \forall\} \cup (\{\natural\} \times \{\perp, 1, \ldots, n\})$$

where Λ consists of the triples (u_p, u, u_s) such that $u \in A \cup (A \times Q)$ and $u_p, u_s \in A \cup (A \times Q) \cup \{\perp\}$. Intuitively, u_p, u, u_s represent three consecutive symbols in a TM configuration C, where $u_p = \perp$ (resp., $u_s = \perp$) iff u is the first (resp., the last) symbol of C. First, we describe the encoding of TM configurations $C = C(0), \ldots, C(2^n - 1)$ by finite words over Γ. Intuitively, the encoding of C is a sequence of 2^n blocks, where the i-th block ($0 \leq i \leq 2^n - 1$) keeps tracks of the triple $(C(i-1), C(i), C(i+1))$ and the binary code of position i (cell number). Note that the cell numbers are in the range $[0, 2^n - 1]$ and can be encoded by using n bits. Formally, a *TM block* is a word over Γ of length $n+2$ of the form $bl = t, bit_1, \ldots, bit_n, (\natural, l_\perp)$, where $t \in \Lambda$, $bit_1, \ldots, bit_n \in \{0,1\}$, and $l_\perp$ is the position i of the first bit bit_i (from left to right) such that $bit_i = 0$ if such a 0-bit exists, and $l_\perp = \perp$ otherwise. The *content* $\mathsf{CON}(bl)$ of bl is t and the *block number* $\mathsf{ID}(bl)$ of bl is the integer in $[0, 2^n - 1]$ whose binary code is $bit_1, \ldots, bit_n$ (we assume that the first bit is the least significant one). Fix a *pseudo* TM configuration $C = C(0), \ldots, C(k-1)$ with $k > 1$, which is defined as a TM configuration with the unique difference that the length k of C is not required to be 2^n. We say that C is *initial* if C corresponds to the initial TM configuration C_{in} with the unique difference that the number of blanks at the right of $w_{in}(n-1)$ is not required to be $2^n - n$. A TM *pseudo code* of C is a word $w_C = bl_0 \cdot \ldots \cdot bl_{k-1} \cdot tag$ over Γ satisfying the following, where $C(-1), C(k) = \perp$:

- $tag \in \{\exists, \forall\}$ and $tag = \exists$ iff C is *existential* (i.e., the associated TM state is in $Q_\exists$);
- each bl_i is a TM block such that $\mathsf{CON}(bl_i) = (C(i-1), C(i), C(i+1))$;

[3] Since the stack content of $\mathscr{S}$ is visible, we assume that each stack symbol in Γ consists exactly of a visible stack content variable. Hence, we identify the set Γ of stack symbols with the set of visible stack content variables.

- $\mathsf{ID}(bl_0) = 0$ and $\mathsf{ID}(bl_{k-1}) = 2^n - 1$. Moreover, for each $0 \leq h < k-1$, $\mathsf{ID}(bl_h) \neq 2^n - 1$.

If $k = 2^n$ and additionally, for each i, $ID(bl_i) = i$, then we say that the word w_C is the TM *code* of the TM configuration C. Given a non-empty sequence $\nu = C_1, \ldots, C_p$ of pseudo TM configurations, a *pseudo sequence-code of* ν is a word over $\Gamma \cup \{\flat\}$ (recall that $\flat$ is the special bottom stack symbol of an OPD) of the form $w_\nu = \flat \cdot w_{C_1} \cdot dir_2 \cdot w_{C_2} \cdot \ldots \cdot dir_p \cdot w_{C_p}$ such that $dir_2, \ldots, dir_p \in \{L, R\}$ and each w_{C_i} is a pseudo code of C_i. The word w_ν is *initial* if C_1 is initial, and is *accepting* if C_p is accepting and each C_j with $j < p$ is not accepting. Moreover, if, additionally, each C_i is a TM configuration and w_{C_i} is a code of C_i, then we say that w_ν is a *sequence-code*. Furthermore, w_ν is *faithful to the evolution of* $\mathscr{T}$ if $C_i = succ_{dir_i}(C_{i-1})$ for each $2 \leq i \leq p$. We encode the acceptance of $\mathscr{T}$ over w_{in} as follows, where a $\Gamma \cup \{\flat\}$-labeled tree is *minimal* if the children of each node have distinct labels. An *accepting pseudo tree-code* is a *finite* minimal $\Gamma \cup \{\flat\}$-labeled tree T such that for each path π of T, the word labeling π, written w_π, is an initial and accepting pseudo sequence-code (of some sequence of pseudo TM configurations) and:

- each internal node labeled by $\exists$ (*existential choice node*) has at most two children: one, if any, is labeled by L, and the other one, if any, is labeled by R;
- each internal node labeled by $\forall$ (*universal choice node*) has exactly two children: one is labeled by L, and the other one is labeled by R.

If for each path π of T, w_π is a *sequence-code*, then we say that T is an *accepting tree-code*. Moreover, if for each path π of T, w_π is faithful to the evolution of $\mathscr{T}$, then we say that T is *fair*.

Remark 2. *$\mathscr{T}$ accepts w_{in} iff there is an accepting fair tree-code.*

Construction of the OPD $\mathscr{S}$ of Theorem 2. We construct the OPD $\mathscr{S}$ in a modular way, i.e. $\mathscr{S}$ is obtained by putting together three OPD $\mathscr{S}_0, \mathscr{S}_1$, and $\mathscr{S}_2$. Intuitively, the first OPD $\mathscr{S}_0$ does not use invisible information and ensures that the set of its *finite* strategy trees is precisely the set of accepting pseudo tree-codes. The second OPD $\mathscr{S}_1$, which does not use invisible information, is used to check, together with a fixed ACTL formula, that an accepting pseudo tree-code is in fact an accepting tree-code. The last OPD $\mathscr{S}_2$, which is the unique 'component' which uses invisible information, is used to check, together with a fixed ACTL formula, that an accepting tree-code is fair. First, we consider the OPDs $\mathscr{S}_0$ and $\mathscr{S}_1$. For a finite word w, we denote by w^R the reverse of w.

Lemma 1. *One can build in polynomial time (in the sizes of $\mathscr{T}$ and w_{in}) an OPD $\mathscr{S}_0$ with* no invisible information, *stack alphabet Γ, set of propositions $\Gamma \cup \{\flat\}$, and special* terminal[4] *control state p_{fin} s.t. $\mathscr{S}_0$ has only push transitions and the set of its* finite *strategy trees ST is the set of accepting pseudo tree-codes. Moreover, for each node x of ST, the stack content of $\mathsf{state}(x)$ is the* reverse *of the word labeling the partial path from the root to x, and $\mathsf{state}(x)$ has control state p_{fin} and it is a system state if x is a leaf.*

Lemma 2. *One can build in polynomial time (in the sizes of $\mathscr{T}$ and w_{in}) an OPD $\mathscr{S}_1$ with* no invisible information, *stack alphabet Γ, and set of propositions $\{main_1, check_1, good_1\}$ s.t. $\mathscr{S}_1$ has only pop transitions and for each state $s = (p_0, \alpha^R)$ such that p_0 is the initial control state and α is a TM* pseudo sequence-code, *the following holds: s is labeled by $main_1$, there is a unique strategy tree ST from s, ST is* finite, *and α is a* sequence code *iff ST satisfies the fixed ACTL formula $\varphi_{check_1} = \mathsf{AG}(check_1 \rightarrow \mathsf{AF} good_1)$.*

Lemma 3. *One can build in polynomial time (in the sizes of $\mathscr{T}$ and w_{in}) an OPD $\mathscr{S}_2$ with* invisible information *and* visible stack content, *stack alphabet Γ, and set of propositions $AP = \{main_2, check_2, select_2, good_2\}$, s.t. $\mathscr{S}_2$ has only pop transitions and for each state $s = (p_0, \alpha^R)$, where p_0 is the initial control state and α is a TM* sequence-code, *the following holds: state s is labeled by $main_2$, each strategy tree of $\mathscr{S}_2$ from s is finite, and α is* faithful to the evolution of $\mathscr{T}$ *iff there is a strategy tree ST from s satisfying the fixed ACTL formula $\varphi_{check_2} = \mathsf{AG}\big(check_2 \rightarrow [((\mathsf{AX} check_2) \vee (\mathsf{AX} select_2)) \wedge \mathsf{AF} good_2]\big)$.*

[4] a terminal control state is a control state from which there is no transition

Proof. We informally describe the construction of $\mathscr{S}_2$, which additionally satisfies the following: (1) the labeling function can be seen as a mapping $\mu : P \to AP$, where P is the set of control states, and (2) for each control state p, $\mathsf{vis}(p) = \mu(p)$. Assume that initially $\mathscr{S}_2$ is in state (p_0, α^R), where p_0 is the initial control state and α is a sequence-code. Note that α is faithful to the evolution of $\mathscr{T}$ iff for each subword[5] of α^R of the form $(bl_1^R \cdot \beta_1^R) \cdot dir \cdot \beta_2^R$ such that $\beta_1 \cdot bl_1$ is a prefix of a TM code, bl_1 is a TM block with $\mathsf{CON}(bl_1) = (u_{1,p}, u_1, u_{1,s})$, and β_2 is a TM code, the following holds: $u_1 = next_{dir}(u_{2,p}, u_2, u_{2,s})$, where $(u_{2,p}, u_2, u_{2,s}) = \mathsf{CON}(bl_2)$ and bl_2 is the unique TM block of β_2 such that $\mathsf{ID}(bl_2) = \mathsf{ID}(bl_1)$. Then, starting from the $main_2$-state (p_0, α^R), the $main_2$-copy of $\mathscr{S}_2$ pops α^R (symbol by symbol) and terminates its computation (a $main_2$-state is labeled by $main_2$) with the additional ability to start by *internal nondeterminism* (i.e., the choices are made by the system) n auxiliary copies (each of them in a $check_2$-state) whenever the popped symbol is in $\{\natural\} \times \{\bot, 1, \ldots, n\}$. Let $l_\bot^1$ be the currently popped symbol in $\{\natural\} \times \{\bot, 1, \ldots, n\}$. Hence, the current stack content is of the form $bl_1^R \cdot \alpha'$, where bl_1 is a TM block. Assume that α' contains some symbol in $\{L, R\}$ (the other case being simpler), hence α' is of the form $\beta_1^R \cdot dir \cdot \beta_2^R \cdot \alpha''$ such that $\beta_1 \cdot bl_1$ is a prefix of a TM code, bl_1 is a TM block with $\mathsf{CON}(bl_1) = (u_{1,p}, u_1, u_{1,s})$, and β_2 is a TM code. Then, the i-th $check_2$ copy ($1 \leq i \leq n$), which visits states labeled by $check_2$, deterministically pops the stack (symbol by symbol) until the symbol dir and memorizes by its finite control the i-th bit bit_i^1 of bl_1 and the symbol u_1 in the content $\mathsf{CON}(bl_1)$ of bl_1. When the symbol $dir \in \{L, R\}$ is popped, then the i-th $check_2$ copy pops β_2^R and terminates its computation with the additional ability to start by *external* nondeterminism (i.e., the choices are made by the environment) an auxiliary copy of $\mathscr{S}_2$ in a $select_2$-state (i.e., a state labeled by $select_2$) whenever the first symbol of the reverse of a TM block bl_2 of β_2 is popped. The $select_2$-copy, which keeps track of bit_i^1, u_1, and dir, deterministically pops bl_2^R and memorizes by its finite control the i-th bit bit_i^2 of bl_2 and $\mathsf{CON}(bl_2)$. When $\mathsf{CON}(bl_2) = (u_{2,p}, u_2, u_{2,s})$ is popped, then the $select_2$-copy terminates its computation, and moves to a $good_2$-state *iff* $bit_i^2 = bit_i^1$ and $u_1 = next_{dir}(u_{2,p}, u_2, u_{2,s})$.

Let ST be a strategy tree of $\mathscr{S}_2$ from state (p_0, α^R). For each $check_2$-node x of ST, let $main(x)$ be the last $main_2$-node in the partial path from the root to x. Let x and y be two distinct $check_2$-nodes of ST which have the same distance from the root and such that $main(x) = main(y)$. First, we observe that the stack contents of x and y coincide, and x and y are associated with two distinct $check_2$-copies. Since for all control states p, $\mathsf{vis}(p) = \mu(p)$, it follows that for each $p \in \{check_2, select_2\}$, x has a p-child iff y has a p-child. Assume that ST satisfies the fixed ACTL formula φ_{check_2}. Let x be an arbitrary main node of ST such that the stack content of x is of the form $(bl_1^R \cdot \beta_1^R) \cdot dir \cdot \beta_2^R \cdot \alpha'$, where bl_1 is a TM block, $\beta_1 \cdot bl_1$ is the prefix of a TM code, $dir \in \{L, R\}$, and β_2 is a TM code. Let $\mathsf{CON}(bl_1) = (u_{1,p}, u_1, u_{1,s})$. By construction, it follows that for each $1 \leq i \leq n$, x has a $check_2$-child x_i such that the subtree rooted at x_i is a chain which leads to a TM $select_2$-block bl_2^i of β_2 followed by a $good_2$-node such that the i-th bit of bl_2^i coincides with the i-th bit of bl_1 and $u_1 = next_{dir}(u_{2,p}, u_2, u_{2,s})$, where $(u_{2,p}, u_2, u_{2,s}) = \mathsf{CON}(bl_2^i)$. Moreover, by the observation above, it follows that all the n $check_2$-copies associated with the n $check_2$-children of x select the same TM block bl_2 of β_2. Since the i-th bit of bl_2 coincides with the i-th bit of bl_1 for each $1 \leq i \leq n$, bl_2 is precisely the TM block of β_2 have the same cell number as bl_1. It follows that α is faithful to the evolution of $\mathscr{T}$. Vice versa, if α is faithful to the evolution of $\mathscr{T}$, it easily follows that there is a strategy tree from (p_0, α^R) satisfying φ_{check_2}. □

Let $\mathscr{S}_0, \mathscr{S}_1$, and $\mathscr{S}_2$ be the OPDs of Lemmata 1, 2, and 3, respectively. W.l.o.g. we assume that the sets of visible and invisible control variables of these OPDs are pairwise disjoint. Hence, their sets of control states are pairwise disjoint as well. The OPD $\mathscr{S}$ satisfying Theorem 2 is obtained from

[5] given a word w, a finite word w' is a *subword* of w if w can be written in the form $w = w_1 \cdot w' \cdot w_2$

$\mathscr{S}_0, \mathscr{S}_1$, and $\mathscr{S}_2$ as: (1) the set of control states is the union of the sets of control states of $\mathscr{S}_0, \mathscr{S}_1$, and $\mathscr{S}_2$, and the initial control state is the initial control state of $\mathscr{S}_0$, (2) the transition relation contains all the transitions of $\mathscr{S}_0, \mathscr{S}_1$, and $\mathscr{S}_2$ and, additionally, two *internal* transitions from the special terminal control state p_{fin} of $\mathscr{S}_0$ to the initial control states of $\mathscr{S}_1$ and $\mathscr{S}_2$, respectively, and (3) the labeling function and the partitioning in environment and system states are obtained from those of $\mathscr{S}_0, \mathscr{S}_1$, and $\mathscr{S}_2$ in the obvious way. Let φ_{check_1} and φ_{check_2} be the fixed ACTL formulas of Lemmata 2 and 3, and let $\varphi_{finite} = \mathsf{AF}(\mathsf{AX}\neg true)$ be the fixed ACTL formula asserting that a (finitely-branching) tree is finite.[6] Note that a state of $\mathscr{S}$ is a state of $\mathscr{S}_0$ iff it is *not* labeled by any proposition in $Prop_{fixed} = \{main_1, main_2, check_1, check_2, good_1, good_2, select_2\}$. By Lemmata 1, 2, and 3, we easily obtain that

Claim: there is an accepting fair tree-code (i.e., $\mathscr{T}$ accepts w_{in}) iff there is a strategy tree of $\mathscr{S}$ satisfying the fixed ACTL formula $\varphi_{finite} \wedge \mathsf{AG}\big([\bigwedge_{p \in Prop_{fixed}} \neg p] \longrightarrow [\bigwedge_{i=1}^{i=2} \mathsf{AX}(main_i \rightarrow \varphi_{check_i})]\big)$.

By the claim above, Theorem 2 follows, which concludes.

4 Pushdown module checking for OPD with visible stack content depth

4.1 Undecidability results

In this subsection, we establish the following result.

Theorem 3. *PMC with imperfect information against CTL restricted to OPDs with* visible stack content depth *is undecidable, even if the CTL formula is assumed to be in the fragment* $CTL(\mathsf{EF}, \mathsf{EX}, \mathsf{AG}, \mathsf{AX})$ *and the OPD is assumed to be stable and having only environment configurations.*

Theorem 3 is proved by a reduction from the Post's Correspondence Problem (PCP, for short) [12]. An instance $\mathscr{I}$ of PCP is a tuple $\mathscr{I} = ((u_1^1, \ldots, u_n^1), (u_1^2, \ldots, u_n^2))$, where $n \geq 1$ and for each $1 \leq i \leq n$, u_i^1 and u_i^2 are non-empty finite words over an alphabet A. Let $[n] = \{1, \ldots, n\}$. A *solution of* $\mathscr{I}$ is a non-empty sequence $i_1, i_2, \ldots, i_k$ of integers in $[n]$ such that $u_{i_1}^1 \cdot u_{i_2}^1 \cdot \ldots \cdot u_{i_k}^1 = u_{i_1}^2 \cdot u_{i_2}^2 \cdot \ldots \cdot u_{i_k}^2$. PCP consists in checking for a given instance $\mathscr{I}$, whether $\mathscr{I}$ admits a solution. This problem is known to be undecidable [12]. In the rest of this section, we fix a PCP instance $\mathscr{I} = ((u_1^1, \ldots, u_n^1), (u_1^2, \ldots, u_n^2))$ and prove the following result, hence Theorem 3 follows.

Theorem 4. *One can build a* stable *OPD* $\mathscr{S}$ *with* visible stack content depth *and having only environment configurations, and a* $CTL(\mathsf{EF}, \mathsf{EX}, \mathsf{AG}, \mathsf{AX})$ *formula* φ *such that* $\mathscr{I}$ *has* no *solution* iff $\mathscr{M}_{\mathscr{S}} \models_r \varphi$.

In order to prove Theorem 4, first we describe a suitable encoding of the set of solutions of $\mathscr{I}$. Some ideas in the proposed encoding are taken from [1], where emptiness of alternating automata on nested trees is shown to be undecidable.

Preliminary step: encoding of the set of solutions of $\mathscr{I}$. We use the following set AP of atomic propositions: $AP = A \cup [n] \cup ([n] \times \{\natural\}) \cup \{\flat, end_1, end_2, prev, succ, no_{match}, match, \top_1, \top_2, \bot_1, \bot_2, \diamondsuit\}$.

We denote by MAX the maximum of the sizes of the words in $\mathscr{I}$ and by A^{MAX} the set of words $w \in A^+$ such that $|w| \leq MAX$. Let $i_1, \ldots, i_k \in [n]^+$ (i.e., a non-empty sequence of integers in $[n]$) and $w \in A^+$ (i.e., a non-empty finite word over A). A *marked* $(i_1, \ldots, i_k, w)$*-word* is a finite word v over AP obtained from the word $\flat \cdot i_1 \cdot \ldots \cdot i_k \cdot end_1 \cdot w^R \cdot end_2$ by replacing at most one integer occurrence i_j, where $1 \leq j \leq k$, with $(i_j, \natural)$. The marked $(i_1, \ldots, i_k, w)$-word v is *good* if it contains exactly one marked integer occurrence. A (good) *marked word* is a (good) marked $(i_1, \ldots, i_k, w)$-word for some $i_1, \ldots, i_k \in [n]^+$ and $w \in A^+$. A *marked tree* T_{marked} is a *minimal* AP-labeled tree satisfying the following:

[6] note that a strategy tree of a OPD is finitely-branching, i.e. the set of children of any node is finite.

- each finite path of T_{marked} is labeled by a marked word;
- for all $i_1,\ldots,i_k \in [n]^+$ and $w \in A^+$, if there is a finite path of T_{marked} labeled by a marked $(i_1,\ldots,i_k,w)$-word, then for each marked $(i_1,\ldots,i_k,w)$-word v, there is a path of T_{marked} labeled by v.
- each infinite path of T_{marked} is labeled by a word in $\{\flat\}\cdot[n]^\omega \cup \{\flat\}\cdot[n]^*\cdot[n]\times\{\natural\}\cdot[n]^\omega \cup \{\flat\}\cdot[n]^*\cdot[n]\times\{\natural\}\cdot[n]^*\cdot\{end_1\}\cdot A^\omega$.[7].

Note that $i_1,\ldots,i_k$ is a solution of $\mathscr{I}$ iff there is a word $w \in A^+$ which can be factored into $u^1_{i_1}\cdot u^1_{i_2}\cdot\ldots\cdot u^1_{i_k}$ and similarly into $u^2_{i_1}\cdot u^2_{i_2}\cdot\ldots\cdot u^2_{i_k}$. In order to express this condition, we define suitable extensions of the marked trees. First, we need additional definitions.

For each $t=1,2$, a t-witness for w is a *finite minimal AP*-labeled tree T^t_w satisfying the following: T^t_w consists of a *main path* labeled by a word of the form $\bot_t\cdot w_1\cdot\top_t\cdot\ldots\cdot\top_t\cdot w_l\cdot\top_t$ such that:

- $w_1,\ldots,w_l \in A^{MAX}$ and $w_1\cdot\ldots\cdot w_l = w$;
- each $\top_t$-node has an additional child x, which does not belong to the main path, such that the subtree rooted at x is a finite chain (called *secondary chain*), whose nodes are labeled by $\diamondsuit$.

Let x_i be the i^{th} $\top_t$-node along the main path, where $1\le i\le l$: we denote by $\mathsf{length}(x_i)$ the length of the associated secondary chain, by $\mathsf{word}(x_i)$ the word w_i, and by $\mathsf{suffix}(x_i)$ the (possibly empty) word $w_{i+1},\ldots,w_l$. An *extension* of a t-witness T^t_w for w is a *finite minimal AP*-labeled tree ET^t_w obtained from T^t_w by extending each secondary chain of T^t_w with an additional (leaf) node labeled by a symbol in $\{prev, succ, no_{match}, match\}$. We say that T^t_w is the *support* of ET^t_w. For $p\in\{prev,succ,no_{match},match\}$, we say that a $\top_t$-node of ET^t_w is *of type* p if the secondary chain associated with x lead to a p-node. Given a good marked $(i_1,\ldots,i_k,w)$-word $v=\flat\cdot i_1\cdot\ldots\cdot i_{j-1}\cdot(i_j,\natural)\cdot\ldots\cdot i_k\cdot end_1\cdot w^R\cdot end_2$, we say that ET^t_w is compatible with v iff for each $\top_t$-node x along the main path of ET^t_w, the following holds:

- $\mathsf{length}(x)\in\{|\mathsf{suffix}(x)|+1,\ldots,|\mathsf{suffix}(x)|+k\}$. Moreover, if $\mathsf{length}(x)>|\mathsf{suffix}(x)|+k-j+1$ (resp., $\mathsf{length}(x)<|\mathsf{suffix}(x)|+k-j+1$), then x is of type '*prev*' (resp., '*succ*');
- if $\mathsf{length}(x)=|\mathsf{suffix}(x)|+k-j+1$ and $\mathsf{word}(x)=u^t_{i_j}$ (resp., $\mathsf{word}(x)\neq u^t_{i_j}$), then x is of type '*match*' (resp., 'no_{match}').

A *marked tree with witnesses* WT_{marked} is a *minimal AP*-labeled tree such that there is a marked tree T_{marked} so that WT_{marked} is obtained from T_{marked} as follows:

- for each leaf x of T_{marked} (note that x is an end_2-node), let v be the marked word labeling the partial path from the root to x. Then, if v is good, we add two children x_1 and x_2 to x such that for each $t=1,2$, the subtree rooted at x_t is an extension of a t-witness compatible with v;
- *well-formedness requirement*: let $w\in A^+$ and $i_1,\ldots,i_k\in[n]^+$, and x and y be two end_2-nodes of WT_{marked} such that the associated marked words are good $(i_1,\ldots,i_k,w)$-marked words. Then, we require that for each $t=1,2$, the two subtrees rooted at the $\bot_t$-child of x and y, respectively, (which are extensions of t-witnesses) have the same support.

Proposition 1. *$\mathscr{I}$ admits a solution* iff *there is a* marked tree with witnesses WT_{marked} *having some end_2-node and such that for each $\bot_t$-node x ($t=1,2$), the subtree ET^x_w rooted at x satisfies the following:*

- *ET^x_w has no 'no_{match}'-nodes and there is exactly one node of ET^x_w which is labeled by 'match';*
- no *$\top_t$-node of type 'match' or 'succ' is strictly followed by a $\top_t$-node of type 'match' or 'prev'.*

[7] this last condition is irrelevant in the encoding of the set of solutions of $\mathscr{I}$. It just reflects, as we will see, the behavior of the OPD of Theorem 4

By Proposition 1, we easily deduce the following.

Proposition 2. *One can construct a* $CTL(\mathsf{EF},\mathsf{EX},\mathsf{AG},\mathsf{AX})$ *formula* $\psi_{\mathscr{I}}$ *such that* $\mathscr{I}$ *admits a solution* if and only if *there is a* marked tree with witnesses WT_{marked} *which satisfies* $\psi_{\mathscr{I}}$.

Since $\mathsf{CTL}(\mathsf{EF},\mathsf{EX},\mathsf{AG},\mathsf{AX})$ is closed under negation, Theorem 4 directly follows from Proposition 2 and the following lemma.

Lemma 4. *One can construct a* stable OPD $\mathscr{S}$ *with* visible stack content depth *and having only environment configurations, and a* $CTL(\mathsf{EF},\mathsf{EX},\mathsf{AG},\mathsf{AX})$ *formula* ϕ *such that the set of strategy trees of* $\mathscr{S}$ *which satisfy* ϕ *corresponds to the set of marked trees with witnesses.*

Proof. We informally describe the construction of the stable OPD $\mathscr{S} = \langle AP, Q, q_0, \Gamma, \flat, \Delta, \mu, Env\rangle$. Each state of $\mathscr{S}$ is an environment state, i.e. $Env = Q \times (\Gamma \cup \{\flat\})$, and the labeling function μ can be seen as mapping $\mu : Q \to AP$. The sets I_Γ and H_Γ of visible and invisible stack content variables are given by $I_\Gamma = A \cup [n]$ and $H_\Gamma = \{\natural\}$. Then, Γ is given by $\Gamma = \{\{\gamma\} \mid \gamma \in I_\Gamma\} \cup \{\{i,\natural\} \mid i \in [n]\}$. We identify $\{\gamma\}$ with γ and $\{i,\natural\}$ with $(i,\natural)$. Hence, Γ corresponds to the set $A \cup [n] \cup ([n] \times \{\natural\})$. Note that $\mathsf{vis}(\gamma) \neq \varepsilon$ for each $\gamma \in \Gamma$. Hence, the stack content depth of $\mathscr{S}$ is visible and:

- **Property A:** for all $\gamma, \gamma' \in \Gamma$, $\mathsf{vis}(\gamma) = \mathsf{vis}(\gamma')$ iff either $\gamma = \gamma'$ or $\gamma, \gamma' \in \{i, (i,\natural)\}$ for some $i \in [n]$.

Furthermore, the definition of μ and P ensures the following:

- **Property B:** for all $q, q' \in P$, $\mathsf{vis}(q) = \mathsf{vis}(q')$ iff: (1) or $\mu(q) = \mu(q')$, or (2) $\mu(q), \mu(q') \in \{i, (i,\natural)\}$ for some $i \in [n]$, or (3) $\mu(q), \mu(q') \in \{no_{match}, match, prev, succ\}$.[8]

First phase: generation of marked words. Starting from the initial configuration (whose stack content and propositional label is $\flat$), the OPD $\mathscr{S}$ generates symbol by symbol,[9] by external nondeterminism, marked words. Whenever a symbol in $A \cup [n] \cup ([n] \times \{\natural\})$ is generated, at the same time it is pushed onto the stack. Symbols in $\{end_1, end_2\}$ are generated by internal transitions that do not modify the stack content. The OPD $\mathscr{S}$ keeps track by its finite control whether there is a marked integer in the prefix of the guessed marked word generated so far. In such a way, $\mathscr{S}$ can ensure that during the generation of a marked word, at most one integer occurrence in $[n]$ is marked. Let Υ be the set of AP-labeled trees T such that there is a strategy tree ST of $\mathscr{S}$ so that T is obtained from ST by pruning the subtrees rooted at the children of end_2-nodes. Then, Properties A and B above ensure that Υ is the *set of marked trees*.

Second phase: generation of extensions of t-witnesses, where $t = 1,2$. Assume that $\mathscr{S}$ is in an end_2-state s associated with some node x_s of the computation tree of $\mathscr{S}$ from the initial state. By construction, the partial path from the root to x_s is labeled by some marked word v. If v is not good, then s has no successors. Now, assume that v is good, hence, v is of the form $\flat \cdot i_1 \cdot \ldots \cdot (i_j,\natural) \cdot \ldots \cdot i_k \cdot end_1 \cdot w^R \cdot end_2$, where $w \in A^+$ and $i_1, \ldots, i_k \in [n]^+$. By construction, the stack content in s is given by $w \cdot i_k \cdot \ldots \cdot (i_j,\natural) \cdot \ldots \cdot i_1 \cdot \flat$. Then, from state s, $\mathscr{S}$ splits in two copies: the first one moves to a configuration s_1 labeled by $\bot_1$ and the second one moves to configuration s_2 labeled by $\bot_2$ (in both cases the stack content is not modified). Fix $t = 1,2$. From state s_t, $\mathscr{S}$ generates by external nondeterminism extensions of t-witnesses compatible with the marked word v as follows. Finite words of the form $w_1 \cdot \top_t \cdot \ldots \cdot \top_t \cdot w_l \cdot \top_t$, where $w_1, \ldots, w_l \in A^{MAX}$ and $w_1 \cdot \ldots \cdot w_l = w$, labeling main paths of t-witnesses, are generated as follows. The symbol $\top_t$ is generated by internal transitions which do not modify the stack content. Whenever the symbol $\bot_t$ (resp., $\top_t$) is generated, $\mathscr{S}$ pops (resp., can pop) the stack symbol by symbol and generates

[8] In fact, in order to ensure that $\mathscr{S}$ is stable, Property B is slightly more complicated.

[9] i.e., the transitions in this phase lead to configurations labeled by propositions in $\{end_1, end_2\} \cup A \cup [n] \cup ([n] \times \{\natural\})$

the current popped symbol (with the restriction that a symbol can be popped iff it is in A). At the same time, $\mathscr{S}$ keeps track by its finite control of the string $w_s \in A^{MAX}$ popped so far. When $|w_s| = MAX$, then $\mathscr{S}$ deterministically moves to a $\top_t$-configuration (without changing the stack content). If instead $|w_s| < MAX$, then $\mathscr{S}$ either continues to pop the stack content (if the top of the stack content is in A) or moves to a $\top_t$-configuration (without changing the stack content). Additionally, from a $\top_t$-configuration, $\mathscr{S}$ can also choose to move to a $\diamondsuit$-configuration $s_\diamondsuit$ without changing the stack content. In $s_\diamondsuit$, $\mathscr{S}$ keeps track in the control state of the word $w_s \in A^{MAX}$ (popped from the stack) and associated with the previous $\top_t$-configuration. Starting from $s_\diamondsuit$, $\mathscr{S}$ deterministically pops the stack symbol by symbol remaining in $s_\diamondsuit$. When every symbol in A has been popped (hence, the stack content is $i_k \cdot \ldots \cdot (i_j, \natural) \cdot \ldots \cdot i_1 \cdot \flat$), $\mathscr{S}$ can choose to continue to pop the stack symbol by symbol by moving at each step to $\diamondsuit$-configurations and by keeping track in its finite control of the string w_s and whether a marked integer in $[n]$ has been already popped. Additionally, whenever a symbol in $[n] \cup [n] \times \{\natural\}$ is popped, $\mathscr{S}$ can choose to move without changing the stack content to a terminal p-configuration, where $p \in \{prev, succ, match, no_{match}\}$, such that the following holds: $p = succ$ (resp., $p = prev$) if an integer in $[n]$ is popped and no (resp., some) marked integer has been previously popped, and $p = match$ (resp., $p = no_{match}$) if a marked integer $(h, \natural)$ (note that $h = i_j$) is popped and $w_s = u_h^t$ (resp., $w_s \neq u_h^t$).

We use the following $\mathsf{CTL}(\mathsf{EF}, \mathsf{EX}, \mathsf{AG}, \mathsf{AX})$ formula ϕ in order to select strategy trees of $\mathscr{S}$ such that: (1) each end_2-node has two children (i.e., a child labeled by $\bot_1$ and a child labeled $\bot_2$), and (2) for each $t = 1, 2$, the subtree rooted at any $\bot_t$-node is an extension of a t-witness. In order to fulfill the second requirement, first, we need to ensure that from each $\bot_t$ node ($t = 1, 2$), there is a unique main path. Note that this last condition is equivalent to require that each a-node with $a \in A$ in a $\bot_t$-node rooted subtree has exactly one child (this can be easily expressed in $\mathsf{CTL}(\mathsf{EF}, \mathsf{EX}, \mathsf{AG}, \mathsf{AX})$, since the strategies trees of $\mathscr{S}$ are *minimal AP*-labeled trees). Second, we need to ensure that each $\top_t$-node has a $\diamondsuit$-child x such that the subtree rooted at x is a finite chain. Hence, formula ϕ is given by

$$\mathsf{AG}(end_2 \rightarrow \bigwedge_{t=1,2} \mathsf{EX}(\bot_t \wedge \mathsf{AG}[(\bigvee_{a \in A} a \rightarrow \psi_{unique}) \wedge (\top_t \rightarrow \mathsf{EX}\diamondsuit) \wedge (\diamondsuit \rightarrow (\psi_{unique} \wedge \mathsf{EF}\,\mathsf{AX}\neg\mathtt{true}))]))$$

where $\psi_{unique} = \bigvee_{p \in AP} \mathsf{AX}p$. By Properties A and B above it easily follows that the strategy trees of $\mathscr{S}$ satisfying the $\mathsf{CTL}(\mathsf{EF}, \mathsf{EX}, \mathsf{AG}, \mathsf{AX})$ formula ϕ, also satisfy the well-formedness requirement. Hence, the set of strategy trees of $\mathscr{S}$ satisfying ϕ is the set of marked trees with witnesses. □

4.2 Decidability results

The main result of this subsection is as follows.

Theorem 5. *PMC with imperfect information against ECTL restricted to stable OPDs with visible stack content depth and having only environment configurations is decidable and in* 2EXPTIME.

Theorem 5 is proved by a reduction to non-emptiness of Büchi alternating visible pushdown automata (AVPA) [5], which is 2EXPTIME-complete [5]. First, we briefly recall the framework of AVPA. Then, we establish some additional decidability results. Finally, we prove Theorem 5.

Büchi AVPA: A *pushdown alphabet* Σ is a finite alphabet which is partitioned in three disjoint finite alphabets Σ^{call}, Σ^{ret}, and Σ^{int}, where Σ^{call} is a set of *calls*, Σ^{ret} is a set of *returns*, and Σ^{int} is a set of *internal actions*. An AVPA is a standard alternating pushdown automaton on words over a pushdown alphabet Σ, which pushes onto (resp., pops) the stack only when it reads a call (resp., a return), and does not use the stack on internal actions. For a formal definition of the syntax and semantics of AVPA see [5]. Given a Büchi AVPA $\mathscr{A}$ over Σ, we denote by $\mathscr{L}(\mathscr{A})$ the set of nonempty finite or infinite words over Σ accepted by $\mathscr{A}$ (we assume that $\mathscr{A}$ is equipped with both a Büchi acceptance condition for infinite words and a standard acceptance condition for finite words).

Preliminary decidability results: For a module $\mathscr{M}$, a *minimal* strategy tree ST_{min} of $\mathscr{M}$ is a strategy tree satisfying the following: for each strategy tree ST of $\mathscr{M}$ if ST is contained in ST_{min}, then $ST = ST_{min}$. Given a CTL formula φ, we say that $\mathscr{M}$ *minimally reactively satisfies* φ, denoted $\mathscr{M} \models_{r,min} \varphi$, if all the *minimal* strategy trees of $\mathscr{M}$ satisfy φ. Let $\mathscr{M}$ be a *stable* module having only environment states and ST be a minimal strategy tree of $\mathscr{M}$. For each $i \geq 0$, let Λ_i be the set of nodes x of ST at distance i from the root, i.e., such that $|x| = i$. Since ST is minimal, it easily follows that for all $i \geq 0$ and $x, x' \in \Lambda_i$, $\mathsf{vis}(\mathtt{state}(x)) = \mathsf{vis}(\mathtt{state}(x'))$. Now, let us consider a stable OPD $\mathscr{S} = \langle AP, Q, q_0, \Gamma, \flat, \Delta, \mu, Env \rangle$ with visible stack content depth and having only environment configurations. By Remark 1, $\mathscr{M}_S$ is stable. Let ST be a minimal strategy tree of $\mathscr{S}$ and for each $i \geq 0$, let Λ_i be defined as above (w.r.t. strategy ST). By the above observation, it easily follows that for each $i \geq 0$ such that $\Lambda_{i+1} \neq \emptyset$, there are $X_i \subseteq I$ (where I is the set of visible control state variables of $\mathscr{S}$) and $X_{i,\Gamma} \subseteq I_\Gamma$ (where I_Γ is the set of visible stack content variables of $\mathscr{S}$) such that one of the following holds:

- each node x in Λ_{i+1} is obtained from the parent node by an internal transition (depending on x) of the form $q \rightarrow q'$ such that $\mathsf{vis}(q') = X_i$;
- each node x in Λ_{i+1} is obtained from the parent node by a push transition (depending on x) of the form $q \xrightarrow{\mathtt{push}(\gamma)} q'$ such that $\mathsf{vis}(q') = X_i$ and $\mathsf{vis}(\gamma) = X_{i,\Gamma}$;
- each node x in Λ_{i+1} is obtained from the parent node by a pop transition (depending on x) of the form $q \xrightarrow{\mathtt{pop}(\gamma)} q'$ such that $\mathsf{vis}(q') = X_i$.

Let $\Sigma_{\mathscr{S}}$ be the pushdown alphabet defined as follows: $\Sigma^{call}_{\mathscr{S}} = \{(push, X, X_\Gamma) \mid X = \mathsf{vis}(q)$ and $X_\Gamma = \mathsf{vis}(\gamma)$ for some $q \in Q$ and $\gamma \in \Gamma\}$, $\Sigma^{int}_{\mathscr{S}} = \{(int, X) \mid X = \mathsf{vis}(q)$ for some $q \in Q\}$, and $\Sigma^{ret}_{\mathscr{S}} = \{(pop, X) \mid X = \mathsf{vis}(q)$ for some $q \in Q\}$. Thus, we can associate to each finite (resp., infinite) minimal strategy tree ST of $\mathscr{S}$ a finite (resp., infinite) word over $\Sigma_{\mathscr{S}}$, denoted by $w(ST)$. Moreover, for each word w over $\Sigma_{\mathscr{S}}$, there is at most one minimal strategy tree ST of $\mathscr{S}$ such that $w(ST) = w$. This observation leads to the following theorem, where $\widehat{\Sigma}_{\mathscr{S}}$ is the pushdown alphabet $\Sigma_{\mathscr{S}} \cup \{push, pop\}$, with *push* being a call, and *pop* a return.

Theorem 6. *Given a stable OPD $\mathscr{S}$ with visible stack content depth and having only environment configurations and a CTL formula φ, one can construct in linear-time a Büchi* AVPA *$\mathscr{A}$ over $\widehat{\Sigma}_{\mathscr{S}}$ such that there is a* minimal *strategy tree of $\mathscr{S}$ satisfying φ iff $\mathscr{L}(\mathscr{A}) \neq \emptyset$.*

Proof. The proposed construction is a generalization of the standard alternating automata-theoretic approach to CTL model checking [15]. Here, we informally describe the main aspects of the construction. Let $\mathscr{S} = \langle AP, P, p_o, \Gamma, \flat, \Delta, \mu, Env \rangle$. W.l.o.g. we assume that the initial configuration of $\mathscr{S}$ is non-terminal. For a word w over $\Sigma_{\mathscr{S}}$, we denote by $ext(w)$ the word over $\widehat{\Sigma}_{\mathscr{S}}$ obtained from w by replacing each occurrence of a return symbol (pop, X) in w with the word $(pop, X), pop, push$. We construct a Büchi AVPA $\mathscr{A}$ over $\widehat{\Sigma}_{\mathscr{S}}$ such that for each non-empty word $\widehat{w}$ over $\widehat{\Sigma}_{\mathscr{S}}$, $\mathscr{A}$ has an accepting run over $\widehat{w}$ *if and only if* $\widehat{w} = ext(w)$ for some word w over $\Sigma_{\mathscr{S}}$ and there is a minimal strategy tree ST of $\mathscr{S}$ such that $w = w(ST)$ and ST satisfies φ. Essentially, for each word w over $\Sigma_{\mathscr{S}}$ associated with some minimal strategy tree ST of $\mathscr{S}$, an accepting run r of $\mathscr{A}$ over $ext(w)$ encodes ST as follows: the nodes of r associated with the i-th symbol of w correspond to the nodes of ST at distance i from the root. However, for each node x of ST, there can be many copies of x in the run r. Each of such copies has the same stack content as x, but its control state is equipped with additional information including one of the subformulas of φ which holds at node x of ST.

The AVPA $\mathscr{A}$ has the same stack alphabet as $\mathscr{S}$. Its set of control states is instead given by the set of tuples of the form (p, γ, ψ, f), where $(p, \gamma) \in P \times (\Gamma \cup \{\flat\})$, ψ is a subformula of φ, and f is an additional

state variable in $\{sim, pop, push\}$. Intuitively, p represents the current control state of $\mathscr{S}$ and γ represents the guessed top symbol of the current stack content. Furthermore, f is used to check that the input word is an extension of some word over $\Sigma_{\mathscr{S}}$. The additional symbols *pop* and *push* in $\widehat{\Sigma}_{\mathscr{S}}$ are instead used to check that the guess γ is correct. The behavior of $\mathscr{A}$ as follows. Assume that a copy of $\mathscr{A}$ is in a control state of the form $(p', \gamma', \psi', sim)$ and the current input symbol is σ, where p' is the current control state of $\mathscr{S}$ and γ' is the top symbol of the current stack content (initially, $\mathscr{A}$ is in the control state $(p_0, \flat, \varphi, sim)$). If $\sigma \in \{pop, push\}$, then the input is rejected. If instead σ is call (resp., an internal action) in $\Sigma_{\mathscr{S}}$, then the considered copy of $\mathscr{A}$ simulate push (resp., internal) transitions of $\mathscr{S}$ from the current configuration (of the form (p', α) such that $\mathsf{top}(\alpha) = \gamma'$) consistent with σ if such transitions exist by splitting in one or more copies (depending on the number of simulated transitions and the structure of ψ), each of them moving to a control state of the form (p, γ, ψ, sim). Note that in this case, $\mathscr{A}$ can ensure that the guess γ is correct. Now, assume that σ is a return in $\Sigma_{\mathscr{S}}$. Then, the considered copy of $\mathscr{A}$ guesses a stack symbol $\gamma \in \Gamma \cup \{\flat\}$ and simulate pop transitions of $\mathscr{S}$ from the current configuration consistent with σ (if such transitions exist) by splitting in one or more copies (depending on the number of simulated transitions and the structure of ψ), each of them moving to a control state of the form (p, γ, ψ, pop). In the next step, the input symbol must be *pop* (otherwise, the input is rejected). Thus, the current copy in control state (p, γ, ψ, pop) pops the stack and check whether the guess γ is correct. If the guess is correct, then the copy moves to the control state $(p, \gamma, \psi, push)$ (otherwise, the run is rejecting). In the next step, the input symbol must be *push* (otherwise, the input is rejected). Thus, the considered copy re-pushes γ onto the stack and moves to control state (p, γ, ψ, sim). Assuming that the input word is $ext(w)$ for some nonempty word w over $\Sigma_{\mathscr{S}}$, the above behavior ensures, in particular, that whenever an input symbol in $\Sigma_{\mathscr{S}}$ is read, $\mathscr{A}$ is in a control state of the form (p, γ, ψ, sim), where γ is the top symbol of the current stack content. Finally, $\mathscr{A}$ checks whether w is associated with some minimal strategy tree of $\mathscr{S}$ as follows. First, we observe that a nonempty word w over $\Sigma_{\mathscr{S}}$ is not associable to any minimal strategy tree of $\mathscr{S}$ iff the following holds. There is a proper prefix w' of w of length i for some $i \geq 0$ such that w' is the prefix of $w(ST)$ for some minimal strategy tree ST of $\mathscr{S}$ such that: there is a node x of ST at distance $i+1$ from the root whose configuration (p, α) has some successor, but there is no transition from (p, α) which is consistent with the $i+1$-th symbol of w. Thus, whenever a copy of $\mathscr{A}$ reads a symbol $\sigma \in \Sigma_{\mathscr{S}}$, hence the considered copy is in a control state of the form (p, γ, ψ, sim) (where p is the current control state of $\mathscr{S}$ and γ is the top symbol of the current stack content), $\mathscr{A}$ rejects the input string if: the current configuration of $\mathscr{S}$ has some successor (i.e., (p, γ) is non-terminal), but there is no transition from the current configuration which is consistent with the current input symbol σ. □

Since non-emptiness of AVPA is 2EXPTIME-complete [5], by Theorem 6, we obtain the following.

Corollary 1. *Checking whether $\mathscr{M}_{\mathscr{S}} \models_{r,min} \varphi$, for a given CTL formula φ and a given stable OPD $\mathscr{S}$ with visible stack content depth and having only environment configurations, is in* 2EXPTIME.

Proof of Theorem 5: let φ be an ECTL formula over *AP*. Note that for all 2^{AP}-labeled trees T and T', if T is contained in T' and T satisfies φ, then T' satisfies φ as well. Note that for a given module $\mathscr{M}$, each strategy tree of $\mathscr{M}$ contains some minimal strategy tree. Hence, for an ECTL formula φ, $\mathscr{M} \models_r \varphi$ if and only if $\mathscr{M} \models_{r,min} \varphi$. Thus, Theorem 5 directly follows from Corollary 1. Finally, for completeness, we observe that unrestricted PMC with imperfect information against ACTL is trivially decidable. Indeed for an ACTL formula φ and module $\mathscr{M}$, $\mathscr{M} \models_r \varphi$ iff the *maximal* strategy tree of $\mathscr{M}$ (i.e., the computation tree of $\mathscr{M}$ starting from the initial state) satisfies φ. Hence, PMC with imperfect information against ACTL is equivalent to standard pushdown model checking against ACTL, which is in EXPTIME [19].

Proposition 3. *PMC with imperfect information against ACTL is in* EXPTIME.

5 Conclusion

There is an intriguing question left open. We have shown the PMC with imperfect information for stable OPDs with visible stack content depth and having only environment configurations is undecidable for the fragment $\mathsf{CTL}(\mathsf{EF}, \mathsf{EX}, \mathsf{AG}, \mathsf{AX})$ of CTL, and decidable for the fragments ECTL and ACTL of CTL. Thus, it is open the decidability status of the problem above for the standard EF-fragment of CTL (using just the temporal modality EF and its dual AG). We conjecture that the problem is decidable.

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